

# A NEW FOURIER TRANSFORM

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ABSTRACT. In order to define a geometric Fourier transform, one usually works with either  $\ell$ -adic sheaves in characteristic  $p > 0$  or with  $\mathcal{D}$ -modules in characteristic 0. If one considers  $\ell$ -adic sheaves on the stack quotient of a vector bundle  $V$  by the homothety action of  $\mathbb{G}_m$ , however, Laumon provides a uniform geometric construction of the Fourier transform in any characteristic. The category of sheaves on  $[V/\mathbb{G}_m]$  is closely related to the category of (unipotently) monodromic sheaves on  $V$ . In this article, we introduce a new functor, which is defined on all sheaves on  $V$  in any characteristic, and we show that it restricts to an equivalence on monodromic sheaves. We also discuss the relation between this new functor and Laumon's homogeneous transform, the Fourier-Deligne transform, and the usual Fourier transform on  $\mathcal{D}$ -modules (when the latter are defined).

## 1. INTRODUCTION

In order to define a geometric Fourier transform, one usually works with either  $\ell$ -adic sheaves in characteristic  $p > 0$  or with  $\mathcal{D}$ -modules in characteristic 0 (under these conditions one has a rank 1 local system on  $\mathbb{A}^1$  which plays the role of the function  $e^{ix}$  in classical Fourier analysis). If one only needs to consider homogeneous sheaves, however, Laumon [L] provides a uniform geometric construction of the Fourier transform for  $\ell$ -adic sheaves in any characteristic. Laumon considers homogeneous sheaves as sheaves on the stack quotient of a vector bundle  $V$  by the homothety  $\mathbb{G}_m$  action. This category is closely related to the category of (unipotently) monodromic sheaves on  $V$  (cf. [BY]). While it has been well known<sup>1</sup> to experts that a similar uniform construction of the Fourier transform exists for monodromic sheaves (Beilinson suggests a definition in [B2, footnote 2]), the details have not been explicated in the literature. In this note, we fill in this gap. We also introduce a new functor, which is defined on all sheaves in any characteristic, and show that it agrees with the usual Fourier transform on monodromic sheaves.

We define the new Fourier transform  $\text{Four}_B$  in §2 and show that the “square”  $\text{Four}_B^2$  has a simple formula. In §3, we use this formula to prove the main result that  $\text{Four}_B$  induces an equivalence of bounded derived categories of monodromic (étale) sheaves. We also discuss the relation between  $\text{Four}_B$  and Laumon's homogeneous Fourier transform. In §4, we compare  $\text{Four}_B$  and the Fourier-Deligne transform in characteristic  $p > 0$ . Our study of  $\text{Four}_B$  reveals several surprising facts about a certain object  $j^*B$  of the monoidal category  $D_{ctf}(\mathbb{G}_m)$ . In §5, we prove the analogous facts about  $j^*B$  in the  $\mathcal{D}$ -module setting by considering the Mellin transform. We use this to show that  $\text{Four}_B$  agrees with the Fourier transform on monodromic  $\mathcal{D}$ -modules.

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<sup>1</sup>It is also well known that in the classical topology situation, for conic constructible sheaves on a vector bundle the functor of microlocalization coincides with the Fourier-Sato transform (see [KS]).

Vladimir Drinfeld for many helpful discussions. The definition of  $\text{Four}_B$  was first suggested by Drinfeld.

**1.2. Notation and terminology.** Let  $k$  be an arbitrary base field and fix an algebraic closure  $\bar{k}$ . Choose a prime  $\ell$  not equal to the characteristic of  $k$ . Let  $R$  be a finite commutative  $\mathbb{Z}/\ell^r$ -algebra for a positive integer  $r$ . Fix a base scheme  $S$  of finite type over  $k$ . Let  $\pi : V \rightarrow S$  be a vector bundle of rank  $d$  and  $\pi^\vee : V^\vee \rightarrow S$  the dual vector bundle. We will work with the bounded derived category  $D_c^b(V) = D_c^b(V, R)$  of étale sheaves of  $R$ -modules with constructible cohomologies. Our results are also true when  $D_c^b(V, R)$  is replaced by the full subcategory  $D_{ctf}(V, R)$  consisting of complexes with finite Tor-dimension, or by  $D_c^b(V, \overline{\mathbb{Q}}_\ell)$ . All functors will be assumed to be derived.

We say a complex  $M \in D_c^b(V)$  is monodromic if  $M$  is monodromic in the sense of Verdier [V1] after base change to  $\bar{k}$ . This is equivalent to the existence of an integer  $n$  coprime to  $p$  and an isomorphism  $\theta(n)^* M \cong \text{pr}_2^* M$  where  $\theta(n) : \mathbb{G}_m \times V \rightarrow V$  sends  $(\lambda, v)$  to  $\lambda^n v$ , and  $\text{pr}_2 : \mathbb{G}_m \times V \rightarrow V$  is the projection [V1, Proposition 5.1]. We denote the monodromic subcategory by  $D_{\text{mon}}^b(V)$ . We recall the fact that  $\pi_! \cong 0^!$  on monodromic complexes (cf. [V1, Lemme 6.1] or [S, Proposition 1] for two different methods of proof), where  $0 : S \hookrightarrow V$  is the zero section.

The category  $D_{ctf}(\mathbb{G}_m)$  of étale sheaves is monoidal with respect to convolution with compact support, which is defined by

$$L * K = m_!(L \boxtimes K)$$

where  $m : \mathbb{G}_m \times \mathbb{G}_m \rightarrow \mathbb{G}_m$  is multiplication, and  $L, K \in D_{ctf}(\mathbb{G}_m)$ . This monoidal category acts on  $D_c^b(V)$  by

$$L * M = \theta(1)_!(L \boxtimes M)$$

where  $\theta(1) : \mathbb{G}_m \times V \rightarrow V$  is the action map,  $L \in D_{ctf}(\mathbb{G}_m)$ , and  $M \in D_c^b(V)$ .

## 2. THE FUNCTOR $\text{Four}_B$ AND ITS SQUARE

Let  $u : \mathbb{A}^1 - \{1\} \hookrightarrow \mathbb{A}^1$  be the open embedding removing  $1 \in \mathbb{A}^1(k)$ , and let  $j : \mathbb{A}^1 - \{0\} \hookrightarrow \mathbb{A}^1$  be the open embedding removing zero. Define

$$B = u_* R \in D_{ctf}(\mathbb{A}^1).$$

One observes that  $h_! B = 0$  where  $h : \mathbb{A}^1 \rightarrow \text{Spec } k$  is the structure map, and  $0^* B \cong R$  where  $0 : \text{Spec } k \hookrightarrow \mathbb{A}^1$ .

Define  $\text{Four}_{V/S, B} : D_c^b(V) \rightarrow D_c^b(V^\vee)$  by

$$\text{Four}_{V/S, B}(M) = \text{pr}_1^\vee(\text{pr}^* M \otimes \mu^* B)[d]$$

where  $\text{pr}^\vee : V^\vee \times_S V \rightarrow V^\vee$  and  $\text{pr} : V^\vee \times_S V \rightarrow V$  are the projections and  $\mu : V^\vee \times_S V \rightarrow \mathbb{A}^1$  is the natural pairing  $(\xi, v) \mapsto \langle v, \xi \rangle$ . This is the new Fourier transform that we will consider. Our goal in this section is to prove the following theorem.

**Theorem 2.1.** *There is a canonical isomorphism*

$$\text{Four}_{V^\vee/S, B} \circ \text{Four}_{V/S, B}(M) \cong j^* B * M(-d)[1].$$

for  $M \in D_c^b(V)$ .

Let  $\text{pr}', \text{pr}'' : V \times_S V \rightarrow V$  be the first and second projections, respectively, and  $\text{pr}_{ij}$  the projection from  $V \times_S V^\vee \times_S V$  to the product of the  $i$ 'th and  $j$ 'th factor. The usual formal argument shows that  $\text{Four}_{V^\vee/S, B} \circ \text{Four}_{V/S, B}$  is isomorphic to the functor  $M \mapsto \text{pr}'_!(\text{pr}''^* M \otimes K)$  where

$$K = \text{pr}_{13!}(\text{pr}_{12}^* \mu^* B \otimes \text{pr}_{23}^* \mu^* B)[2d].$$

We claim there exists a canonical isomorphism

$$(2.1.1) \quad K \cong \rho_! \text{pr}_1^* j^* B(-d)[1]$$

where  $\rho : \mathbb{G}_m \times V \rightarrow V \times_S V$  is defined by  $(\lambda, v) \mapsto (\lambda v, v)$ , and  $\text{pr}_1 : \mathbb{G}_m \times V \rightarrow \mathbb{G}_m$  is the natural projection. This claim implies the theorem since  $\text{pr}_1^!(\text{pr}_1^{''*} M \otimes \rho_! \text{pr}_1^* j^* B) \cong j^* B * M$  by the projection formula.

We first establish two lemmas which will help us prove the claim.

**Lemma 2.2.** *If  $v, w \in V(\bar{k})$  are not in the same  $\mathbb{G}_m$ -orbit, then the stalk  $K_{(v,w)}$  equals 0.*

*Proof.* We can assume  $S = \text{Spec } \bar{k}$ . Clearly  $v$  and  $w$  cannot both be zero; we will assume  $v \neq 0$ . Since  $v, w$  are not in the same  $\mathbb{G}_m$ -orbit, there exists  $\xi \in V^\vee(\bar{k})$  such that  $\langle w, \xi \rangle = 0$  and  $\langle v, \xi \rangle \neq 0$ . Let  $\langle v \rangle : V^\vee \rightarrow \mathbb{A}_{\bar{k}}^1$  denote the evaluation by  $v$  map. Split  $V^\vee$  as  $\bar{k}\xi \oplus H_v$  where  $H_v = (\bar{k}v)^\perp$ . With respect to this decomposition,  $\langle v \rangle^* B \otimes \langle w \rangle^* B \cong B \boxtimes (\langle w \rangle|_{H_v})^* B$ . Then by Künneth formula,

$$\pi_1^\vee(\langle v \rangle^* B \otimes \langle w \rangle^* B) \cong h_! B \otimes (\pi^\vee|_{H_v})_!(\langle w \rangle|_{H_v})^* B = 0$$

Therefore  $K_{(v,w)} = 0$ .  $\square$

**Lemma 2.3.** *There is a canonical isomorphism*

$$J^* K \cong J^* \rho_! \text{pr}_1^* j^* B(-d)[1]$$

where  $J : V \times_S V - 0(S) \hookrightarrow V \times_S V$  is the open embedding removing zero.

*Proof.* We use  $V^\circ$  to denote  $V - 0(S)$ . In this proof we will use  $\rho$  to denote the restricted morphism  $\mathbb{G}_m \times V^\circ \hookrightarrow V \times_S V$ , which is an immersion, and  $\text{pr}_1 : \mathbb{G}_m \times V^\circ \rightarrow \mathbb{G}_m$  to denote the projection. From Lemma 2.2 we know that  $J^* K$  is supported on the image of  $\rho$ . Thus it suffices to consider  $\rho^* J^* K$ . Define

$$\omega : \mathbb{G}_m \times V^\vee \times_S V^\circ \rightarrow \mathbb{G}_m \times \mathbb{A}^1 \times V^\circ$$

by sending  $(\lambda, \xi, v)$  to  $(\lambda, \langle v, \xi \rangle, v)$ . Then

$$\rho^* J^* K \cong \text{pr}_{13!} \omega_! \omega^* \text{pr}_{12}^*(m^* B \otimes p_2^* B)[2d]$$

where  $\text{pr}_{13}, \text{pr}_{12}$  are projections from  $\mathbb{G}_m \times \mathbb{A}^1 \times V^\circ$  and  $m, p_2 : \mathbb{G}_m \times \mathbb{A}^1 \rightarrow \mathbb{A}^1$  are the multiplication and projection maps. Since  $\omega$  is in fact a vector bundle of rank  $d-1$ , we see that  $\omega_! R$  is isomorphic to  $R(1-d)[2-2d]$ . Therefore the projection formula implies that

$$\rho^* J^* K \cong \text{pr}_{13!} \text{pr}_{12}^*(m^* B \otimes p_2^* B)(1-d)[2].$$

We have a Cartesian square

$$\begin{array}{ccc} \mathbb{G}_m \times \mathbb{A}^1 \times V^\circ & \xrightarrow{\text{pr}_{12}} & \mathbb{G}_m \times \mathbb{A}^1 \\ \text{pr}_{13} \downarrow & & \downarrow \text{id} \times h \\ \mathbb{G}_m \times V^\circ & \xrightarrow{\text{pr}_1} & \mathbb{G}_m \end{array}$$

so proper base change gives  $\text{pr}_{13!} \text{pr}_{12}^* \cong \text{pr}_1^*(\text{id} \times h)_!$ . We have an exact triangle

$$R \rightarrow p_2^* B \rightarrow (\text{id} \times 1)_! R(-1)[-1]$$

where  $1 : \text{Spec } k \hookrightarrow \mathbb{A}^1$  is the complement of  $u$ . Since  $(\text{id} \times h)_!(m^* B) = 0$  by a change of variables, we deduce that

$$(\text{id} \times h)_!(m^* B \otimes p_2^* B) \cong (\text{id} \times h)_!(m^* B \otimes (\text{id} \times 1)_! R)(-1)[-1] \cong j^* B(-1)[-1].$$

Now it follows that  $\rho^* J^* K \cong \text{pr}_1^* j^* B(-d)[1]$ .  $\square$

*Proof of Theorem 2.1.* The case  $d = 0$  is obvious since  $h_1 B = 0$  and  $0^* B \cong R$ . From now on we will assume that  $d > 0$ . We will show that both sides of (2.1.1) are in the essential image of the functor  $\tau_{\leq 0} J_* J^*$ , i.e., there are isomorphisms

$$K \cong \tau_{\leq 0} J_* J^*(K) \text{ and } \rho_! \text{pr}_1^* j^* B(-d)[1] \cong \tau_{\leq 0} J_* J^*(\rho_! \text{pr}_1^* j^* B(-d)[1]).$$

The claimed existence of an isomorphism (2.1.1) will then follow from Lemma 2.3.

A stalk computation shows that  $\rho_! \text{pr}_1^* j^* B(-d)[1]$  lives in non-positive degrees. We claim that the natural morphism

$$(2.3.1) \quad \rho_! \text{pr}_1^* j^* B(-d)[1] \rightarrow \tau_{\leq 0}(J_* J^* \rho_! \text{pr}_1^* j^* B(-d)[1])$$

is an isomorphism. Let  $0 : S \hookrightarrow V \times_S V$  denote the zero section. From the exact triangle  $0_! 0^! \rightarrow \text{id} \rightarrow J_* J^*$ , it suffices to show that  $0^! \rho_! \text{pr}_1^* j^* B \in D_c^{\geq 2d}(S)$ . Observe that  $\rho$  is  $\mathbb{G}_m$ -equivariant with respect to the  $\mathbb{G}_m$ -action on the second coordinate of  $\mathbb{G}_m \times V$  and the diagonal action of  $\mathbb{G}_m$  on  $V \times_S V$ . This implies that  $\rho_! \text{pr}_1^* j^* B$  is monodromic. Thus

$$0^! \rho_! \text{pr}_1^* j^* B \cong h_{1!} j_! j^* B(-d)[-2d] \cong R(-d)[-2d-1].$$

Therefore  $0^! \rho_! \text{pr}_1^* j^* B \in D_c^{\geq 2d}(S)$ .

One easily sees that  $K_{(0,0)} \cong R(-d)$ . Thus  $K$  lives in non-positive cohomological degrees. To show that the natural morphism  $K \rightarrow \tau_{\leq 0} J_* J^* K$  is an isomorphism, it suffices by the same argument as above to prove  $0^! K \in D_c^{\geq 2d}(S)$ . One observes from the definition of  $K$  that  $K$  is monodromic with respect to the diagonal  $\mathbb{G}_m$ -action on  $V \times_S V$ . Therefore

$$0^! K \cong \tilde{\pi}_!(\text{pr}_{12}^* \mu^* B \otimes \text{pr}_{23}^* \mu^* B)[2d]$$

where  $\tilde{\pi} : V \times_S V^\vee \times_S V \rightarrow S$  is the structure map. By projection formula and proper base change, the right hand side is isomorphic to

$$\pi'_!(\mu^* B \otimes \text{pr}^{\vee,*} \text{pr}_!^\vee \mu^* B)[2d]$$

for  $\pi' : V \times_S V^\vee \rightarrow S$  the structure map. The fact that  $h_1 B = 0$  implies that  $\text{pr}_!^\vee \mu^* B$  is supported at  $0(S) \subset V^\vee$ , and  $0^* \text{pr}_!^\vee \mu^* B \cong R(-d)[-2d]$ . We deduce that

$$0^! K \cong \pi_! R(-d) \cong R(-2d)[-2d],$$

which proves the claim, and hence the theorem.  $\square$

### 3. PROPERTIES OF $\text{Four}_B$

*Remark 3.1.* The functor  $\text{Four}_{V/S,B}$  is not an equivalence on  $D_c^b(V) \rightarrow D_c^b(V^\vee)$ . Consider the one-dimensional case  $V = \mathbb{A}_S^1$ . Then  $\text{Four}_{V/S,B}(0_! R) = R[1]$  and  $\text{Four}_{V/S,B}(1_! R) = B[1]$ . We have  $\text{Hom}(R, B) \neq 0$  but  $\text{Hom}(0_! R, 1_! R) = 0$ , so  $\text{Four}_{V/S,B}$  is not fully faithful.

**3.2. Relation to quotient stacks.** Let  $p : V \rightarrow \mathcal{V} = [V/\mathbb{G}_m]$  and  $p^\vee : V^\vee \rightarrow \mathcal{V}^\vee = [V^\vee/\mathbb{G}_m]$  denote the canonical projections to the quotient stacks. By [L, Lemme 3.2], Laumon's homogeneous transform  $\text{Four}_{\mathcal{V}/S} : D_c^b(\mathcal{V}) \rightarrow D_c^b(\mathcal{V}^\vee)$  is canonically isomorphic to the functor

$$(3.2.1) \quad K \mapsto \text{pr}_!^\vee(\text{pr}^* K \otimes \mu^* f_! B_S)[d]$$

where  $f : \mathbb{A}_S^1 \rightarrow \mathcal{A}_S$  is the quotient morphism and  $B_S$  denotes the base change of  $B$  from  $\mathbb{A}_k^1$  to  $\mathbb{A}_S^1$ . We abuse notation and use  $\text{pr}^\vee : \mathcal{V}^\vee \times_S \mathcal{V} \rightarrow \mathcal{V}^\vee$ ,  $\text{pr} : \mathcal{V}^\vee \times_S \mathcal{V} \rightarrow \mathcal{V}$ , and  $\mu : \mathcal{V}^\vee \times_S \mathcal{V} \rightarrow \mathcal{A}_S$  to also denote the induced maps on stacks.

**Proposition 3.3.** *The composed functors*

$$(p^\vee)^* \circ \text{Four}_{\mathcal{V}/S} \text{ and } \text{Four}_{\mathcal{V}/S,B} \circ p^* : D_c^b(\mathcal{V}) \rightarrow D_c^b(\mathcal{V}^\vee)$$

*are canonically isomorphic.*

*Proof.* The proposition follows from (3.2.1) by applying proper base change to the Cartesian squares

$$\begin{array}{ccc} [V^\vee \times_S V / \mathbb{G}_m] & \longrightarrow & \mathbb{A}^1 \\ \downarrow & & \downarrow f \\ \mathcal{V}^\vee \times_S \mathcal{V} & \xrightarrow{\mu} & \mathcal{A}_S \end{array} \quad \begin{array}{ccc} V^\vee \times_S V & \longrightarrow & [V^\vee \times_S V / \mathbb{G}_m] \\ \downarrow & & \downarrow \\ V^\vee & \longrightarrow & \mathcal{V}^\vee \end{array}$$

where  $\mathbb{G}_m$  acts on  $V^\vee \times_S V$  anti-diagonally.  $\square$

**Proposition 3.4.** *Let  $V' = V \times \mathbb{A}^1$  and let  $\mathbb{G}_m$  act on both  $V$  and  $\mathbb{A}^1$ . We have a canonical open embedding  $\nu : V \hookrightarrow [V'/\mathbb{G}_m] : v \mapsto (v, 1)$ . Similarly, we have  $\nu^\vee : V^\vee \hookrightarrow [(V')^\vee/\mathbb{G}_m]$  defined by  $\nu^\vee(\xi) = (\xi, -1)$ . The composed functor*

$$D_c^b(V) \xrightarrow{\nu_!} D_c^b([V'/\mathbb{G}_m]) \xrightarrow{\text{Four}_{[V'/\mathbb{G}_m]/S}} D_c^b([(V')^\vee/\mathbb{G}_m]) \xrightarrow{(\nu^\vee)^*} D_c^b(V^\vee)$$

is isomorphic to  $\text{Four}_{V/S, B}$ .

*Proof.* Observe that  $\nu$  factors into the composition of an open affine chart  $V \hookrightarrow \mathbb{P}(V')$  and the open embedding  $\mathbb{P}(V') = [(V' - 0(S))/\mathbb{G}_m] \hookrightarrow [V'/\mathbb{G}_m]$ . Similarly, we have a factorization of  $\nu^\vee$ . The proposition now follows from [L, Proposition 1.6], since the restriction of the incidence hyperplane in  $\mathbb{P}((V')^\vee) \times_S \mathbb{P}(V')$  to  $V^\vee \times_S V$  is  $\mu^{-1}(\{1\})$ .  $\square$

**3.5. An equivalence induced by  $\text{Four}_{V/S, B}$ .** Let  $p : V \rightarrow \mathcal{V}$  be as in the previous subsection.

**Proposition 3.6.** *Let  $\mathcal{C}_V$  denote the full subcategory of  $D_c^b(V)$  consisting of complexes  $M$  such that  $p_! M = 0$ . The functor  $\text{Four}_{V/S, B}$  induces an equivalence  $\mathcal{C}_V \rightarrow \mathcal{C}_{V^\vee}$ .*

*Proof.* Proper base change and projection formula imply that  $\text{Four}_{V/S, B}$  sends  $\mathcal{C}_V$  to  $\mathcal{C}_{V^\vee}$  and vice versa. We also see by proper base change that  $p^* p_! M \cong R * M$  for  $M \in D_c^b(V)$ , where  $R$  is the constant sheaf on  $\mathbb{G}_m$ . From the exact triangle  $1_! R(-1)[-2] \rightarrow R \rightarrow B$  we deduce that  $j^* B * M \cong M(-1)[-1]$  for  $M \in \mathcal{C}_V$ . Therefore Theorem 2.1 implies that

$$\text{Four}_{V^\vee/S, B} \circ \text{Four}_{V/S, B}(M) \cong M(-d-1)$$

for  $M \in \mathcal{C}_V$ , and we deduce the proposition.  $\square$

**3.7. Monodromic complexes.** We will show that  $\text{Four}_{V/S, B}$  also induces an equivalence on the subcategories of monodromic complexes. We use the notation and results of Appendix A.

**Theorem 3.8.** (i) *The functor  $\text{Four}_{V/S, B}$  preserves monodromicity, and the restriction defines an equivalence  $D_{\text{mon}}^b(V) \rightarrow D_{\text{mon}}^b(V^\vee)$ .*

(ii) *For  $N \in D_{\text{mon}}^b(V^\vee)$ , the pro-object*

$$(3.8.1) \quad \text{pr}_!(\text{pr}^{\vee,*} N \otimes \mu^* j_* I^0)(d+1)[d+1]$$

is essentially constant<sup>2</sup>.

(iii) *The functor  $D_{\text{mon}}^b(V^\vee) \rightarrow D_{\text{mon}}^b(V)$  defined by (3.8.1) is quasi-inverse to  $\text{Four}_{V/S, B}$ .*

Since  $B$  is not monodromic, our first step is to compute the “monodromization” of  $B$ .

**Lemma 3.9.** *There is an isomorphism of pro-objects*

$$I^0 * B \cong j_* I^1(-1)[-1].$$

<sup>2</sup>A pro-object is essentially constant if it is isomorphic to an object of  $D_{\text{mon}}^b(V)$ , which is considered as a pro-object via the constant embedding.

*Proof.* First we show that the restriction  $I^0 * j^* B$  is isomorphic to  $I^1(-1)[-1]$ . The exact triangle  $1_! R(-1)[-2] \rightarrow R \rightarrow B$  induces by convolution exact triangles

$$I_n^0(-1)[-2] \rightarrow I_n^0 * R \rightarrow I_n^0 * j^* B$$

for  $p \nmid n$ . Taking “ $\underline{\text{lim}}$ ” and using Lemma A.4, the first arrow is isomorphic to the augmentation map  $I^0(-1)[-2] \rightarrow R(-1)[-2]$ . Therefore we deduce that the pro-object  $I^0 * j^* B$  is isomorphic to  $I^1(-1)[-1]$ .

To complete the proof, it suffices to show that the canonical morphism

$$I^0 * B \rightarrow j_* j^*(I^0 * B)$$

is an isomorphism. This is equivalent to proving that  $0^!(I^0 * B) = 0$ . Since  $I^0 * B$  is monodromic,  $0^!(I^0 * B) \cong h_!(I^0 * B)$ . By the Kunnetth formula,  $h_!(I^0 * B) \cong h_! j_! I^0 \otimes h_! B = 0$ .  $\square$

*Proof of Theorem 3.8.* One easily sees that  $\text{Four}_{V/S,B}$  preserves monodromicity. Theorem 2.1 and Lemma A.4 together imply that for  $M \in D_{\text{mon}}^b(V)$ , we have

$$\text{Four}_{V^\vee/S,B} \circ \text{Four}_{V/S,B}(M) \cong I^1 * M(-d)[2].$$

Since  $I^{-1} * I^1 \cong I^0(-1)[-2]$  by Corollary A.9, we deduce that  $\text{Four}_{V/S,B}$  is an equivalence, with inverse functor  $I^{-1} * \text{Four}_{V^\vee/S,B}(d+2)[2]$ . Lemmas 3.9 and A.4 imply that for  $N \in D_{\text{mon}}^b(V^\vee)$ , we have isomorphisms

$$I^{-1} * \text{Four}_{V^\vee/S,B}(N) \cong I^{-1} * \text{pr}_!(\text{pr}^{\vee,*} N \otimes \mu^* j_* I^1)[d+1].$$

Applying Corollary A.9 again, we get (iii).  $\square$

*Remark 3.10.* Observe that the formula (3.8.1) is very similar to Beilinson’s suggested definition of the monodromic Fourier transform in [B2].

**Proposition 3.11.** *The object  $j^* B \in D_{\text{ctf}}(\mathbb{G}_m)$  satisfies the following properties:*

- (1)  $j^* B$  is not invertible in the monoidal category  $D_{\text{ctf}}(\mathbb{G}_m)$ .
- (2)  $j^* B$  is invertible in the quotient of  $D_{\text{ctf}}(\mathbb{G}_m)$  by the ideal generated by the constant sheaf  $R$ .
- (3) There are canonical isomorphisms  $I_n^0 * j^* B \cong I_n^1(-1)[-2]$  for  $p \nmid n$ .

*Proof.* We showed in Remark 3.1 that  $\text{Four}_{\mathbb{A}^1,B}$  is not an equivalence on  $D_c^b(\mathbb{A}^1)$ . Since  $\text{Four}_{\mathbb{A}^1,B}^2(M)$  is isomorphic to  $j^* B * M(-1)[1]$ , we deduce that  $j^* B$  is not invertible in the monoidal category  $D_{\text{ctf}}(\mathbb{G}_m)$ .

From the exact triangle  $1_! R(-1)[-2] \rightarrow R \rightarrow j^* B$  on  $\mathbb{G}_m$ , we see that in the quotient of  $D_{\text{ctf}}(\mathbb{G}_m)$  by the ideal generated by  $R$ , the object  $j^* B$  is isomorphic to  $1_! R(-1)[-1]$ , which is invertible.

Lemma 3.9 gives an isomorphism  $I^0 * j^* B \cong I^1(-1)[-2]$ . Convoluting with  $I_n^0$ , we get an isomorphism  $I_n^0 * j^* B \cong I_n^0 * I^1$ . One observes that  $I_n^0 * I^1 \cong I_n^1(-1)[-2]$  by Corollary A.9.  $\square$

#### 4. RELATION TO FOURIER-DELIGNE TRANSFORM

Suppose that  $k$  has characteristic  $p > 0$ . Assume that  $R$  contains a primitive  $p$ -th root of unity  $\zeta$  (where “primitive” means that  $\zeta - 1$  is invertible). Let  $\psi : \mathbb{F}_p \rightarrow R^\times$  be the corresponding additive character with  $\psi(1) = \zeta$ , and let  $\mathcal{L}_\psi$  denote the Artin-Schreier sheaf. The usual Fourier-Deligne transform  $\text{Four}_{V/S,\mathcal{L}_\psi} : D_c^b(V) \rightarrow D_c^b(V^\vee)$  is defined by

$$\text{Four}_{V/S,\mathcal{L}_\psi}(M) = \text{pr}_!^\vee(\text{pr}^* M \otimes \mu^* \mathcal{L}_\psi)[d].$$

**Lemma 4.1.** *There is a canonical isomorphism*

$$\iota^* j^* \mathcal{L}_\psi * \mathcal{L}_\psi \cong B[-1]$$

where  $\iota : \mathbb{G}_m \rightarrow \mathbb{G}_m$  sends  $\lambda \mapsto -\lambda^{-1}$ .

*Proof.* By a change of variables,  $\iota^* j^* \mathcal{L}_\psi * \mathcal{L}_\psi$  is isomorphic to  $\text{Four}_{\mathbb{A}^1, \mathcal{L}_{\psi^{-1}}}(j! j^* \mathcal{L}_\psi)[-1]$ . We have an exact triangle

$$j! j^* \mathcal{L}_\psi \rightarrow \mathcal{L}_\psi \cong \text{Four}_{\mathbb{A}^1, \mathcal{L}_\psi}(1_! R[-1]) \rightarrow 0_* R.$$

Applying  $\text{Four}_{\mathbb{A}^1, \mathcal{L}_{\psi^{-1}}}$  and using the Fourier-Deligne inversion formula on the middle term, we have an exact triangle

$$\text{Four}_{\mathbb{A}^1, \mathcal{L}_{\psi^{-1}}}(j! j^* \mathcal{L}_\psi) \rightarrow 1_! R(-1)[-1] \rightarrow R[1].$$

This induces an isomorphism  $\text{Four}_{\mathbb{A}^1, \mathcal{L}_{\psi^{-1}}}(j! j^* \mathcal{L}_\psi) \rightarrow u_* R = B$ . Since  $\text{Hom}(1_! R(-1)[-1], R) = 0$ , this isomorphism is unique.  $\square$

**Corollary 4.2.** *In characteristic  $p > 0$ , we have a canonical isomorphism*

$$\text{Four}_{V/S, B}(M) \cong \iota^* j^* \mathcal{L}_\psi * \text{Four}_{V/S, \mathcal{L}_\psi}(M)[1].$$

**4.3. Monodromization of  $\mathcal{L}_\psi$  over  $\bar{k}$ .** We use the notation and results of Appendix A. Suppose that  $k$  is algebraically closed, so  $A^0$  is simply a ring instead of a sheaf of rings (i.e., there is no Galois action).

**Lemma 4.4.** *There exists a (non-canonical) isomorphism of pro-objects*

$$I^0 * \mathcal{L}_\psi \cong j_* I^0[-1].$$

*Proof.* As in the proof of Lemma 3.9, it suffices to prove the isomorphism after restriction to  $\mathbb{G}_m$ . Let  $n$  be coprime to  $p$ . By proper base change,

$$1^*(I_n^0 * j^* \mathcal{L}_\psi) \cong \Gamma_c(\mathbb{G}_m, I_n^0 \otimes_R j^* \mathcal{L}_\psi)$$

where we observe that the pullback of  $I_n^0$  under the multiplicative inverse map  $\mathbb{G}_m \rightarrow \mathbb{G}_m$  is isomorphic to  $I_n^0$ . Since  $I_n^0$  is tamely ramified at  $\infty \in \mathbb{P}^1(k)$ , the canonical map

$$\Gamma_c(\mathbb{A}^1, j! I_n^0 \otimes \mathcal{L}_\psi) \rightarrow \Gamma(\mathbb{A}^1, j! I_n^0 \otimes \mathcal{L}_\psi)$$

is an isomorphism (cf. proof of [KW, Lemma 7.1(1)]). In particular  $\Gamma_c(\mathbb{G}_m, I_n^0 \otimes j^* \mathcal{L}_\psi)$  lives in cohomological degrees 0 and 1. Since  $I_n^0 \otimes j^* \mathcal{L}_\psi$  is locally constant and  $\mathbb{G}_m$  is not complete,  $H_c^0(\mathbb{G}_m, I_n^0 \otimes j^* \mathcal{L}_\psi) = 0$ . Thus  $\Gamma_c(\mathbb{G}_m, I_n^0 \otimes j^* \mathcal{L}_\psi)$  lives only in cohomological degree 1.

We now consider  $I_n^0$  as a locally free sheaf of  $A_n^0$ -modules of rank 1. If we let  $\psi'$  denote the composition  $\mathbb{F}_p \rightarrow R^\times \rightarrow (A_n^0)^\times$ , then  $\mathcal{L}_\psi \otimes_R A_n^0 \cong \mathcal{L}_{\psi'}$ , where the latter is the Artin-Schreier sheaf with respect to  $\psi'$  as a locally free sheaf of  $A_n^0$ -modules of rank 1. Hence  $\mathcal{F} := I_n^0 \otimes_{A_n^0} j^* \mathcal{L}_{\psi'}$ , which is isomorphic to  $I_n^0 \otimes_R j^* \mathcal{L}_\psi$ , is a locally free sheaf of  $A_n^0$ -modules of rank 1. In particular,  $\mathcal{F} \in D_{ctf}(\mathbb{G}_m, A_n^0)$  and  $\Gamma_c(\mathbb{G}_m, \mathcal{F})[1]$  is quasi-isomorphic to a finite projective  $A_n^0$  module  $P$ . Applying the Grothendieck-Ogg-Shafarevich formula [SGA5, Exposé X, Corollaire 7.2], one checks that the fiber of  $P$  over any point of  $\text{Spec } A_n^0$  has dimension 1. So there exists an isomorphism  $P \cong A_n^0$  of  $A_n^0$ -modules. Observe from the Cartesian square

$$\begin{array}{ccc} \mathbb{G}_m \times \mathbb{G}_m \times \mathbb{G}_m & \xrightarrow[\text{pr}_2 \times \text{id}_{\mathbb{G}_m}]{\theta(n) \times \text{id}_{\mathbb{G}_m}} & \mathbb{G}_m \times \mathbb{G}_m \\ \text{id}_{\mathbb{G}_m} \times m \downarrow & & \downarrow m \\ \mathbb{G}_m \times \mathbb{G}_m & \xrightarrow[\text{pr}_2]{\theta(n)} & \mathbb{G}_m \end{array}$$

that  $I_n^0 * j^* \mathcal{L}_\psi$  is monodromic, and the monodromy action is induced by the monodromy action on  $I_n^0$ . Hence by Corollary A.7, there exists an isomorphism  $I_n^0 * j^* \mathcal{L}_\psi[1] \cong I_n^0$ .

Suppose  $n'$  is a multiple of  $n$  and  $p \nmid n'$ . The kernel  $\mathcal{K}$  of the surjection  $I_{n'}^0 \rightarrow I_n^0$  is tamely ramified, so  $H_c^2(\mathbb{G}_m, \mathcal{K} \otimes j^* \mathcal{L}_\psi) = 0$  by the same argument as above. We deduce that

$$I_{n'}^0 * j^* \mathcal{L}_\psi[1] \rightarrow I_n^0 * j^* \mathcal{L}_\psi[1]$$

is a surjection of sheaves. Since  $(A_{n'}^0)^\times \rightarrow (A_n^0)^\times$  is also surjective, we can find a projective system of isomorphisms  $I_n^0 * j^* \mathcal{L}_\psi[1] \cong I_n^0$  inducing an isomorphism of pro-sheaves.  $\square$

**Corollary 4.5.** *When  $k$  is algebraically closed, there exists a (non-canonical) isomorphism between the functors  $\text{Four}_{V/S,B}$  and  $\text{Four}_{V/S,\mathcal{L}_\psi}$  restricted to  $D_{\text{mon}}^b(V) \rightarrow D_{\text{mon}}^b(V^\vee)$ .*

*Proof.* Lemma 3.9 and Remark A.3 imply that there exists an isomorphism  $I^0 * B \cong j_* I^0[-1]$ . The latter is also isomorphic to  $I^0 * \mathcal{L}_\psi$  by Lemma 4.4. One easily sees that the Fourier-Deligne transform preserves monodromicity, and the isomorphism of restricted functors follows from Lemma A.4.  $\square$

**4.6. The universal Gauss sum.** Let  $k$  once again be arbitrary. Define the pro-object

$$\mathcal{G} = I^0 * j^* \mathcal{L}_\psi(1)[1].$$

Lemma 4.4 implies that  $\mathcal{G}$  is a monodromic pro-sheaf, and there exists a trivialization  $\mathcal{G} \cong I^0$  after base changing from  $k$  to  $\bar{k}$ . Under the equivalence of abelian categories in Corollary A.7, we see that  $\mathcal{G}$  corresponds to an invertible (locally free of rank 1)  $A^0$ -module on  $\text{Spec } k$ . We are motivated by [SGA4h, Exposé VI, §4] to think of  $\mathcal{G}$  as a “universal Gauss sum”.

Define  $\iota : \mathbb{G}_m \rightarrow \mathbb{G}_m$  by  $\iota(\lambda) = -\lambda^{-1}$ . Then Lemmas 3.9 and 4.1 give a canonical isomorphism

$$\iota^* \mathcal{G} * \mathcal{G} \cong I^1[-2].$$

We also see that the Fourier-Deligne transform on monodromic complexes is isomorphic to the functor  $M \mapsto \text{pr}_1^\vee(\text{pr}^* M \otimes \mu^* j_* \mathcal{G})[d+1]$  on  $D_{\text{mon}}^b(V) \rightarrow D_{\text{mon}}^b(V^\vee)$ . By Corollary 4.2, we have

$$\text{Four}_{V/S,B}(M) \cong \iota^* \mathcal{G} * \text{Four}_{V/S,\mathcal{L}_\psi}(M)[2].$$

for  $M$  monodromic.

## 5. RELATION TO FOURIER TRANSFORM ON $\mathcal{D}$ -MODULES

Let  $k$  be algebraically closed of characteristic 0. We use  $\mathcal{M}(V)$  to denote the abelian category of quasicoherent right  $\mathcal{D}$ -modules on  $V$ . Let  $\mathcal{L} = \mathcal{D}_{\mathbb{A}^1}/(1-\partial_x)\mathcal{D}_{\mathbb{A}^1}$  be the exponential  $\mathcal{D}$ -module on  $\mathbb{A}^1 = \text{Spec } k[x]$ . The Fourier transform is the functor  $DM(V) \rightarrow DM(V^\vee)$  defined by

$$\text{Four}_{V/S,\mathcal{L}}(M) = \text{pr}_*^\vee(\text{pr}^! M \otimes \mu^! \mathcal{L})[1-d].$$

It is well known [KL, Lemme 7.1.4] that this functor can also be described using the isomorphism between the algebras of polynomial differential operators  $\mathcal{D}_{V^\vee} \rightarrow \mathcal{D}_V$  defined in local coordinates by

$$k[\xi_1, \dots, \xi_d, \partial_{\xi_1}, \dots, \partial_{\xi_d}] \rightarrow k[v_1, \dots, v_d, \partial_{v_1}, \dots, \partial_{v_d}] : \xi_i \mapsto -\partial_{v_i}, \partial_{\xi_i} \mapsto v_i.$$

In the  $\mathcal{D}$ -module situation, the analog of  $B$  is  $u_! u^!(\omega_{\mathbb{A}^1})$ , where  $\omega_{\mathbb{A}^1}$  is the sheaf of differentials on  $\mathbb{A}^1$  viewed as a right  $\mathcal{D}$ -module. We will also call this  $\mathcal{D}$ -module  $B$ . A simple calculation shows that<sup>3</sup>

$$B = k[x, \partial_x]/\partial_x(x-1)k[x, \partial_x].$$

<sup>3</sup>Beilinson observed that  $B$  essentially describes the differential equation for a shift of the Heaviside step function.



We define  $\text{Four}_{V/S,B} : DM(V) \rightarrow DM(V^\vee)$  by

$$\text{Four}_{V/S,B}(M) = \text{pr}_*^\vee(\text{pr}^! M \overset{\dagger}{\otimes} \mu^! B)[1-d].$$

Consider  $DM(\mathbb{G}_m)$  with the monoidal structure induced by convolution without compact support  $L * K := m_*(L \boxtimes K)$ . This monoidal category acts on  $DM(V)$  by  $L * M = \theta(1)_*(L \boxtimes M)$ . The proof of Lemma 4.1 can be easily modified to prove the following analog of the lemma and Corollary 4.2.

**Proposition 5.1.** *There is a canonical isomorphism*

$$\iota^* j^* \mathcal{L} * \mathcal{L} \cong B$$

where  $\iota : \mathbb{G}_m \rightarrow \mathbb{G}_m$  sends  $\lambda \mapsto -\lambda^{-1}$ . Consequently, we have a canonical isomorphism

$$\text{Four}_{V/S,B}(M) \cong \iota^* j^* \mathcal{L} * \text{Four}_{V/S,\mathcal{L}}(M).$$

**5.2. Mellin transform of  $j^*B$ .** Let  $\mathfrak{B}$  denote the Mellin transform of  $j^*B$ , viewed as a  $\mathbb{Z}$ -equivariant quasicoherent  $\mathcal{O}$ -module on  $\mathbb{A}^1 = \text{Spec } k[s]$ . The Mellin transform functor

$$\mathfrak{M} : \mathcal{M}(\mathbb{G}_m) \rightarrow \text{QCoh}(\mathbb{A}^1)^{\mathbb{Z}}$$

is defined by considering  $\mathcal{D}(\mathbb{G}_m)$  as the algebra of difference operators  $\mathcal{D} = k[s]\langle T, T^{-1} \rangle / (sT - T(s+1))$  under the identifications  $s = x\partial_x$  and  $T = x$ . We consider the derived category of  $\mathbb{Z}$ -equivariant  $\mathcal{O}_{\mathbb{A}^1}$ -modules  $D(\text{QCoh}(\mathbb{A}^1)^{\mathbb{Z}})$  with monoidal structure induced by the usual derived tensor product over  $k[s]$ . This monoidal structure corresponds to the convolution product on  $DM(\mathbb{G}_m)$ . More precisely,  $\mathfrak{M}(L * K) \cong \mathfrak{M}(L) \otimes_{k[s]} \mathfrak{M}(K)$ .

We start by proving the following proposition, which is an analog of Proposition 3.11 in the  $\mathcal{D}$ -module setting.

**Proposition 5.3.** *The module  $\mathfrak{B}$  satisfies the following properties:*

- (1)  $\mathfrak{B}$  is not invertible in  $D(\text{QCoh}(\mathbb{A}^1)^{\mathbb{Z}})$ .
- (2) The restriction of  $\mathfrak{B}$  to  $\mathbb{A}^1 - \mathbb{Z} := \text{Spec } k[s][s^{-1}, (s \pm 1)^{-1}, \dots]$  is invertible.
- (3) For any  $\chi \in k$  and  $n \in \mathbb{N}$ , there exists an isomorphism

$$\bigoplus_{i \in \mathbb{Z}} k[s]/(s - \chi - i)^n \cong \bigoplus_{i \in \mathbb{Z}} \mathfrak{B} \otimes_{k[s]} k[s]/(s - \chi - i)^n$$

of  $\mathcal{D}$ -modules, where  $T$  acts on  $k[s]$  by translation.

In order to prove the proposition, we will need an explicit description of  $\mathfrak{B}$ . Consider  $k(s)$  as a right  $\mathcal{D}$ -module where  $T$  acts by translation. Let  $\mathfrak{B}'$  denote the  $\mathcal{D}$ -submodule of  $k(s)$  generated by  $\frac{1}{s}$ , or equivalently, the  $k[s]$ -submodule generated by  $\frac{1}{s+i}$  for all  $i \in \mathbb{Z}$ .

**Lemma 5.4.** *There exists an isomorphism of  $\mathcal{D}$ -modules  $\mathfrak{B} \cong \mathfrak{B}'$ .*

*Proof.* We have  $\partial_x x = x\partial_x + 1$  so  $\partial_x(x-1) = (s+1) - T^{-1}s$  in  $\mathcal{D}$ . Therefore

$$\mathfrak{B} = \mathcal{D}/((s+1) - T^{-1}s)\mathcal{D}.$$

Let  $\mathbf{1}$  denote the generator of  $\mathfrak{B}$ . Conjugating  $sT = T(s+1)$  in  $\mathcal{D}$  by  $T^{-1}$  gives  $T^{-1}s = (s+1)T^{-1}$  in  $\mathcal{D}$ . Using this equality,  $\mathbf{1}(s+1) = \mathbf{1}T^{-1}s = \mathbf{1}(s+1)T^{-1}$  in  $\mathfrak{B}$ , and acting on the right by  $T$  gives  $\mathbf{1}(s+1)T = \mathbf{1}(s+1)$ . Using these relations, we deduce that  $\mathfrak{B}$  is generated over  $k$  by  $\mathbf{1}T^i$  for  $i \in \mathbb{Z}$  and  $\mathbf{1}s^j$  for  $j > 0$ . Then  $\mathbf{1} \mapsto \frac{1}{s+1}$  defines a morphism of  $\mathcal{D}$ -modules  $\mathfrak{B} \rightarrow k(s)$ . Since  $\frac{1}{s+i}$  for  $i \in \mathbb{Z}$  and  $s^j$  for  $j \geq 0$  are  $k$ -linearly independent in  $k(s)$ , we see that this morphism is an injection  $\mathfrak{B} \hookrightarrow k(s)$ . The image is  $\mathfrak{B}'$ .  $\square$

*Proof of Proposition 5.3.* Suppose that  $\mathfrak{B}$  is invertible in  $D(\mathrm{QCoh}(\mathbb{A}^1)^{\mathbb{Z}})$ , i.e., there exists an object  $N$  of this monoidal category such that  $\mathfrak{B} \otimes_{k[s]} N \cong k[s]$ . Then  $N \cong \mathrm{Hom}_{k[s]}(k[s], N) \cong \mathrm{Hom}_{k[s]}(\mathfrak{B}, k[s])$ . There are no nonzero morphisms from  $\mathfrak{B}'$  to  $k[s]$ , so  $H^0 N = 0$ . On the other hand, since  $k(s) \otimes_{k[s]} \mathfrak{B}' \cong k(s)$ , we have  $k(s) \otimes_{k[s]} N \cong k(s)$ , which implies that  $H^0 N \neq 0$ . We thus get a contradiction, so  $\mathfrak{B}$  is not invertible.

Since  $\mathcal{O}(\mathbb{A}^1 - \mathbb{Z}) = k[s][s^{-1}, (s \pm 1)^{-1}, \dots] \subset k(s)$ , we see that

$$\mathcal{O}(\mathbb{A}^1 - \mathbb{Z}) \otimes_{k[s]} \mathfrak{B}' = \mathcal{O}(\mathbb{A}^1 - \mathbb{Z}) \subset k(s)$$

is the identity object, proving (2).

The direct sums in (3) only depend on the class  $\bar{\chi}$  of  $\chi$  in  $k/\mathbb{Z}$ . If  $\bar{\chi} = 0 + \mathbb{Z}$  we will assume that  $\chi = 0$ . Let  $\mathfrak{B}_i \subset \mathfrak{B}'$  denote the  $k[s]$ -submodule generated by  $\frac{1}{s-i}$ . Then  $\mathfrak{B}'/\mathfrak{B}_i$  is isomorphic to the direct sum of skyscraper modules  $k[s]/(s-j)$  for integers  $j \neq i$ . Thus  $(\mathfrak{B}'/\mathfrak{B}_i) \otimes_{k[s]} k[s]/(s-\chi-i)^n = 0$ . On the other hand  $\mathfrak{B}_i$  is free, so  $\mathfrak{B}' \otimes_{k[s]} k[s]/(s-\chi-i)^n$  is free with generator  $\frac{1}{s-i} \otimes 1$ . These basis elements give our desired isomorphism, which evidently commutes with the action of  $T$ .  $\square$

**5.5. Monodromization.** The  $\mathbb{G}_m$ -action on  $V$  induces an algebra map  $k[s] \rightarrow \mathcal{D}_V$ , where  $s = x\partial_x$  is the invariant vector field on  $\mathbb{G}_m$ . We say that  $M \in \mathcal{M}(V)$  is monodromic if every local section  $m \in M$  is killed by some nonzero polynomial in  $s = x\partial_x$ . In other words,  $M$  is monodromic if it is a torsion module over  $k[s]$ . This definition of monodromic was introduced by Verdier [V2]. Define an object of  $DM(V)$  to be monodromic if each of its cohomology  $\mathcal{D}$ -modules is monodromic. We denote this full subcategory by  $D_{\mathrm{mon}}\mathcal{M}(V) \subset DM(V)$ .

For any  $\chi \in k$  and  $n \in \mathbb{N}$ , let  $A_{\chi,n} \subset k(s)$  consist of those rational functions with poles of order  $\leq n$  at  $\chi + \mathbb{Z}$  and no other poles. Define  $I_{\chi}^{0,n} \in \mathcal{M}(\mathbb{G}_m)$  to be the inverse Mellin transform  $\mathfrak{M}^{-1}(A_{\chi,n}/k[s])$ . The inclusions  $A_{\chi,n} \rightarrow A_{\chi,n+1}$  induce morphisms  $I_{\chi}^{0,n} \rightarrow I_{\chi}^{0,n+1}$ , which form an inductive system of  $\mathcal{D}$ -modules. Define

$$I^0 = \bigoplus_{\bar{\chi} \in k/\mathbb{Z}} \varinjlim_n I_{\chi}^{0,n} \in \mathcal{M}(\mathbb{G}_m)$$

where  $\chi \in k$  is any lift of  $\bar{\chi}$ . It follows that  $\mathfrak{M}(I^0) = k(s)/k[s]$ .

Let  $\underline{1}$  be the unit object in the monoidal category  $DM(\mathbb{G}_m)$ , so  $\mathfrak{M}(\underline{1}) = k[s]$ . The canonical extension of  $k(s)/k[s]$  by  $k[s]$  defines an extension of  $I^0$  by  $\underline{1}$  and therefore a morphism

$$\varepsilon : I^0 \rightarrow \underline{1}[1].$$

The monoidal category  $DM(\mathbb{G}_m)$  acts on  $DM(V)$  by convolution (without compact support).

**Lemma 5.6.** *An object  $M \in DM(V)$  is monodromic if and only if the morphism  $I^0 * M \rightarrow M[1]$  induced by  $\varepsilon$  is an isomorphism.*

*Proof.* A calculation using the relative de Rham complex with respect to the action map  $\mathbb{G}_m \times V \rightarrow V$  shows that for any  $M \in DM(V)$  and  $N \in DM(\mathbb{G}_m)$ , there is a canonical isomorphism  $N * M \cong \mathfrak{M}(N) \otimes_{k[s]} M$  in the derived category of (sheaves of)  $k[s]$ -modules. This implies that the cocone of the morphism  $I^0 * M \rightarrow M[1]$  is isomorphic (in the derived category of  $k[s]$ -modules) to  $k(s) \otimes_{k[s]} M$ . But  $k(s)$  is flat over  $k[s]$ , so the vanishing of the cohomologies of  $k(s) \otimes_{k[s]} M$  is equivalent to the cohomologies of  $M$  being torsion modules over  $k[s]$ .  $\square$

See [B1], [Li], and [DG, C.2] for further details in the unipotently monodromic case (when  $\chi = 1$ ).

**Lemma 5.7.** *There exists an inductive system of isomorphisms*

$$I_\chi^{0,n} * B \cong j_! I_\chi^{0,n} \cong I_\chi^{0,n} * \mathcal{L}.$$

*Proof.* Since  $h_* B = h_* \mathcal{L} = 0$ , it suffices as in Lemma 3.9 to give isomorphisms of the above objects after restriction to  $\mathbb{G}_m$ . In fact, it suffices to construct isomorphisms between the Mellin transforms of these restrictions, i.e., isomorphisms  $\mathfrak{M}(I_\chi^{0,n} * j^* B) \cong \mathfrak{M}(I_\chi^{0,n}) \cong \mathfrak{M}(I_\chi^{0,n} * j^* \mathcal{L})$ . This is equivalent to constructing isomorphisms

$$(5.7.1) \quad \mathfrak{M}(I_\chi^{0,n}) \otimes_{k[s]} \mathfrak{B} \cong \mathfrak{M}(I_\chi^{0,n}), \quad \mathfrak{B} := \mathfrak{M}(j^* B),$$

$$(5.7.2) \quad \mathfrak{M}(I_\chi^{0,n}) \otimes_{k[s]} E \cong \mathfrak{M}(I_\chi^{0,n}), \quad E := \mathfrak{M}(j^* \mathcal{L}).$$

Note that we have isomorphisms

$$(5.7.3) \quad \mathfrak{M}(I_\chi^{0,n}) = A_{\chi,n}/k[s] \cong \bigoplus_{i \in \mathbb{Z}} k[s]/(s - \chi - i)^n.$$

Combining (5.7.3) and Proposition 5.3(3), one gets (5.7.1). Let us construct (5.7.2). We have

$$E = \mathcal{D}/(1 - T^{-1}s)\mathcal{D}.$$

Let  $\mathbf{1}$  be the generator of  $E$ . Let  $E_i \subset E$  denote the free  $k[s]$ -submodule generated by  $\mathbf{1}T^{-i-1}$  for  $i \in \mathbb{Z}$ . If  $\chi \in \mathbb{Z}$ , set  $\chi = 0$ . From the relation  $\mathbf{1}T^{-i} = \mathbf{1}T^{-i-1}(s - i)$ , we deduce that  $E/E_i$  is supported away from  $\chi + i$ , so  $(E/E_i) \otimes_{k[s]} k[s]/(s - \chi - i)^n = 0$ . Hence  $E \otimes_{k[s]} k[s]/(s - \chi - i)^n$  is freely generated by  $\mathbf{1}T^{-i-1} \otimes 1$ , and this gives us (5.7.2).  $\square$

Lemma 5.7 implies in particular that  $I^0 * B \cong I^0 * \mathcal{L}$ . We deduce from Lemma 5.6 that  $\text{Four}_{V/S,B}$  agrees with  $\text{Four}_{V/S,\mathcal{L}}$  on  $D_{\text{mon}}\mathcal{M}(V)$ .

**Corollary 5.8.** *There is an isomorphism*

$$\text{Four}_{V/S,B} \cong \text{Four}_{V/S,\mathcal{L}}$$

*of functors  $D_{\text{mon}}\mathcal{M}(V) \rightarrow D_{\text{mon}}\mathcal{M}(V^\vee)$ .*

## APPENDIX A. THE MONODROMIC SUBCATEGORY

In this appendix we prove the facts we need about (non-unipotently) monodromic complexes. For a more complete account of the unipotently monodromic story, see [BY, B1].

**A.1. Free monodromic objects.** Let  $p$  be the characteristic of  $k$ , which may be 0. For  $p \nmid n$ , let  $A_n^0$  be the group algebra  $R[\mu_n]$  considered as a sheaf on  $\text{Spec } k$ , i.e., a  $\text{Gal}(\bar{k}/k)$ -module. Put

$$A^0 = \varprojlim_{p \nmid n} A_n^0.$$

Consider  $\mathbb{T} := \varprojlim_{p \nmid n} \mu_n(\bar{k})$  the tame fundamental group of  $\mathbb{G}_{m,\bar{k}}$ . For any  $\gamma \in \mathbb{T}$ , let  $\tilde{\gamma}$  denote the corresponding invertible element in  $A^0(\bar{k})$ . Pick a topological generator  $t \in \mathbb{T}$ . Note that  $\tilde{t} - 1$  is not a zero divisor in  $A^0$ , so  $A^0$  injects to the localization  $A = (A^0)_{\tilde{t}-1}$ . Define

$$A^i = (\tilde{t} - 1)^i A^0 \subset A$$

for  $i \in \mathbb{Z}$  and set  $A_n^i = A^i \otimes_{A^0} A_n^0$  for  $p \nmid n$ . The definition of  $A^i$  is independent of the choice of  $t$ , and  $A^i$  is a  $\text{Gal}(\bar{k}/k)$ -module. Note that  $A^1$  is the kernel of the quotient map  $A^0 \rightarrow A_1^0 = R$ .

*Remark A.2.* The ring  $A^0(\bar{k})$  is isomorphic to the product of the completions of  $R[t, t^{-1}]$  at all maximal ideals  $\mathfrak{m}$  such that  $t^n \equiv 1 \pmod{\mathfrak{m}}$  for some  $p \nmid n$ . The maximal ideals  $\mathfrak{m}$  correspond to the eigenvalues of the monodromy action.

For  $i \in \mathbb{Z}$  and  $p \nmid n$ , let  $I_n^i$  be the local system on  $\mathbb{G}_m$  such that the fiber at  $1 \in \mathbb{G}_m(k)$  is  $A_n^i$  and the monodromy action of  $\gamma \in \mathbb{T}$  is multiplication by  $\tilde{\gamma}$ . We define  $I^i$  to be the pro-sheaf

$$\varprojlim_{p \nmid n} I_n^i,$$

where we use “ $\varprojlim$ ” to denote pro-objects, following the notation of [SGA4-1, Exposé I, (8.5.3.2)].

*Remark A.3.* After base change from  $\text{Spec } k$  to  $\text{Spec } \bar{k}$ , the local systems  $I_n^0$  and  $I_n^i$  are isomorphic via multiplication by  $(\tilde{t} - 1)^i$ , and this induces an isomorphism  $I^0 \cong I^i$ . The isomorphism is not canonical as it depends on the choice of  $t$ .

**Lemma A.4.** *There is a canonical isomorphism of pro-objects*

$$I^0 * M \cong M(-1)[-2]$$

for  $M \in D_{\text{mon}}^b(V)$  considered as a constant pro-object.

*Proof.* Let  $e_n : \mathbb{G}_m \rightarrow \mathbb{G}_m$  denote the  $n^{\text{th}}$  power map. Note that  $e_{n!}R \cong I_n^0$  for  $p \nmid n$ . Since  $M$  is monodromic, there exists  $n_0$  coprime to  $p$  such that  $\theta(n_0)^*M \cong \text{pr}_2^*M$ . Then

$$\varprojlim (e_{n!}R) * M \cong \varprojlim \theta(n)_! \text{pr}_2^*M \cong M(-1)[-2],$$

where we use the fact that the pro-object “ $\varprojlim$ ”  $\Gamma_c(\mathbb{G}_m, R)$  is essentially constant and isomorphic to  $R(-1)[-2]$  (cf. [V1, Lemme 5.2]).  $\square$

**A.5. Monodromic sheaves as  $A^0$ -modules.** Let  $\text{Mod}_\tau(A^0)$  denote the abelian category of sheaves of discrete  $A^0$ -modules on  $\text{Spec } k$ , where  $A^0$  is equipped with the projective limit topology, and let  $\text{Sh}(\mathbb{G}_m)$  denote the abelian category of sheaves of  $R$ -modules on  $\mathbb{G}_m$ . We have a canonical exact functor

$$\text{Loc} : \text{Mod}_\tau(A^0) \rightarrow \text{Sh}(\mathbb{G}_m).$$

Define another functor  $\mathfrak{M} : \text{Sh}(\mathbb{G}_m) \rightarrow \text{Mod}_\tau(A^0)$  by

$$\mathfrak{M}(\mathcal{F}) = \varinjlim h'_* e_{n,*} e_n^* \mathcal{F}$$

where  $h' : \mathbb{G}_m \rightarrow \text{Spec } k$  is the structure map and  $A^0$  acts on  $e_{n,*} e_n^* \mathcal{F}$  by transport of structure. We deduce from étale descent that  $\text{Loc}$  is left adjoint to  $\mathfrak{M}$ . Passing to derived categories, the derived functors are still adjoint, and we also denote them by

$$\text{Loc} : D\text{Mod}_\tau(A^0) \rightleftarrows D(\mathbb{G}_m) : \mathfrak{M}.$$

Note that  $\mathfrak{M} : D(\mathbb{G}_m) \rightarrow D\text{Mod}_\tau(A^0)$  is equal to the composition of the exact functor  $\varinjlim e_{n,*} e_n^*$  with the derived functor  $h'_*$ .

**Proposition A.6.** *The derived functor  $\text{Loc} : D\text{Mod}_\tau(A^0) \rightarrow D(\mathbb{G}_m)$  is fully faithful.*

*Proof.* We need to show that the unit of adjunction  $L \rightarrow \mathfrak{M} \circ \text{Loc}(L)$  is an isomorphism for  $L \in D\text{Mod}_\tau(A^0)$ . We can assume that  $k$  is algebraically closed. Since  $\text{Loc}$  and  $\mathfrak{M}$  both commute with filtered colimits, we may further suppose that  $L$  is a finite module concentrated in degree 0. Then there exists  $n_0$  not divisible by  $p$  such that the action of  $A^0$  on  $L$  factors through  $R[\mu_{n_0}]$ . If  $n$  is a multiple of  $n_0$  then  $e_n^* \text{Loc}(L) \cong \underline{L}$ , where  $\underline{L}$  is the constant sheaf on  $\mathbb{G}_m$  with stalk  $L$ . The proposition now follows from the fact that for any finite abelian group  $L$  of order prime to  $p$ , one has  $\varinjlim H^0 \Gamma(\mathbb{G}_m, e_{n,*} e_n^* \underline{L}) \cong \underline{L}$  and  $\varinjlim H^i \Gamma(\mathbb{G}_m, e_{n,*} e_n^* \underline{L}) = 0$  for  $i \neq 0$ .  $\square$

**Corollary A.7.** *The restriction of  $\text{Loc}$  induces an equivalence between the subcategory of  $D^b\text{Mod}_\tau(A^0)$  consisting of complexes whose cohomology sheaves have finite stalks and  $D_{\text{mon}}^b(\mathbb{G}_m)$ . Taking hearts with respect to the standard  $t$ -structures of the above triangulated categories, we get an isomorphism between the abelian category of sheaves of  $A^0$ -modules on  $\text{Spec } k$  with finite stalk and the abelian category of monodromic sheaves on  $\mathbb{G}_m$ .*

The monoidal structure on  $D\text{Mod}_\tau(A^0)$  with respect to (derived) tensor product over  $A^0$  corresponds under  $\text{Loc}$  to convolution on  $D(\mathbb{G}_m)$ .

**Lemma A.8.** *For  $L, K \in D\text{Mod}_\tau(A^0)$  there exists a canonical isomorphism*

$$\text{Loc}(L) * \text{Loc}(K) \cong \text{Loc}(L \otimes_{A^0} K)(-1)[-2].$$

*Proof.* Consider the functor  $\text{Loc}_{\mathbb{G}_m \times \mathbb{G}_m} : D\text{Mod}_\tau(A^0 \widehat{\otimes}_R A^0) \rightarrow D(\mathbb{G}_m \times \mathbb{G}_m)$ , which is defined similarly to the above functor  $\text{Loc} = \text{Loc}_{\mathbb{G}_m}$ . Applying  $\text{Loc}_{\mathbb{G}_m \times \mathbb{G}_m}$  to the natural map  $L \otimes_R K \rightarrow L \otimes_{A^0} K$ , we get a map  $\text{Loc}(L) \boxtimes \text{Loc}(K) \rightarrow m^* \text{Loc}(L \otimes_{A^0} K)$  in  $D(\mathbb{G}_m \times \mathbb{G}_m)$ . Recall that since  $m$  is smooth,  $m^* \text{Loc}(L \otimes_{A^0} K) \cong m^! \text{Loc}(L \otimes_{A^0} K)(-1)[-2]$ . Therefore the  $(m_!, m^!)$ -adjunction induces a morphism

$$\text{Loc}(L) * \text{Loc}(K) \rightarrow \text{Loc}(L \otimes_{A^0} K)(-1)[-2].$$

To check this is an isomorphism, we can assume  $k$  is algebraically closed and take  $L = K = A_n^0$  for  $p \nmid n$  since the functors on both sides commute with filtered colimits and have finite cohomological amplitude. Under these assumptions, the isomorphism is an easy computation.  $\square$

**Corollary A.9.** *There is a canonical projective system of isomorphisms*

$$I^i * I_n^j \cong I_n^{i+j}(-1)[-2]$$

for  $p \nmid n$  and any integers  $i$  and  $j$ . Consequently there is an isomorphism of pro-objects

$$I^i * I^j \cong I^{i+j}(-1)[-2].$$

*Proof.* Fix  $p \nmid n$ . By Lemma A.8, the first isomorphism is equivalent to an isomorphism

$$\varprojlim_{p \nmid m} A_m^i \otimes_{A^0} A_n^j \cong A_n^{i+j}$$

as pro-objects in  $D\text{Mod}_\tau(A^0)$ . Remark A.3 and Lemma A.4 imply that it suffices to consider the cohomology in degree 0, i.e., we consider the non-derived tensor product on the LHS. Then  $H^0(A_m^i \otimes_{A^0} A_n^j) \cong A_n^{i+j}$  for  $n \mid m$  by definition. These isomorphisms are evidently compatible with changes in  $n$ , so the rest of the corollary follows.  $\square$

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