

A NEW FOURIER TRANSFORM

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ABSTRACT. In order to define a geometric Fourier transform, one usually works with either ℓ -adic sheaves in characteristic $p > 0$ or with \mathcal{D} -modules in characteristic 0. If one considers ℓ -adic sheaves on the stack quotient of a vector bundle V by the homothety action of \mathbb{G}_m , however, Laumon provides a uniform geometric construction of the Fourier transform in any characteristic. The category of sheaves on $[V/\mathbb{G}_m]$ is closely related to the category of (unipotently) monodromic sheaves on V . In this article, we introduce a new functor, which is defined on all sheaves on V in any characteristic, and we show that it restricts to an equivalence on monodromic sheaves. We also discuss the relation between this new functor and Laumon's homogeneous transform, the Fourier-Deligne transform, and the usual Fourier transform on \mathcal{D} -modules (when the latter are defined).

1. INTRODUCTION

In order to define a geometric Fourier transform, one usually works with either ℓ -adic sheaves in characteristic $p > 0$ or with \mathcal{D} -modules in characteristic 0 (under these conditions one has a rank 1 local system on \mathbb{A}^1 which plays the role of the function e^{ix} in classical Fourier analysis). If one only needs to consider homogeneous sheaves, however, Laumon [L] provides a uniform geometric construction of the Fourier transform for ℓ -adic sheaves in any characteristic. Laumon considers homogeneous sheaves as sheaves on the stack quotient of a vector bundle V by the homothety \mathbb{G}_m action. This category is closely related to the category of (unipotently) monodromic sheaves on V (cf. [BY]). While it has been well known¹ to experts that a similar uniform construction of the Fourier transform exists for monodromic sheaves (Beilinson suggests a definition in [B2, footnote 2]), the details have not been explicated in the literature. In this note, we fill in this gap. We also introduce a new functor, which is defined on all sheaves in any characteristic, and show that it agrees with the usual Fourier transform on monodromic sheaves.

We define the new Fourier transform Four_B in §2 and show that the “square” Four_B^2 has a simple formula. In §3, we use this formula to prove the main result that Four_B induces an equivalence of bounded derived categories of monodromic (étale) sheaves. We also discuss the relation between Four_B and Laumon's homogeneous Fourier transform. In §4, we compare Four_B and the Fourier-Deligne transform in characteristic $p > 0$. Our study of Four_B reveals several surprising facts about a certain object j^*B of the monoidal category $D_{ctf}(\mathbb{G}_m)$. In §5, we prove the analogous facts about j^*B in the \mathcal{D} -module setting by considering the Mellin transform. We use this to show that Four_B agrees with the Fourier transform on monodromic \mathcal{D} -modules.

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¹It is also well known that in the classical topology situation, for conic constructible sheaves on a vector bundle the functor of microlocalization coincides with the Fourier-Sato transform (see [KS]).

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1.2. Notation and terminology. Let k be an arbitrary base field and fix an algebraic closure \bar{k} . Choose a prime ℓ not equal to the characteristic of k . Let R be a finite commutative \mathbb{Z}/ℓ^r -algebra for a positive integer r . Fix a base scheme S of finite type over k . Let $\pi : V \rightarrow S$ be a vector bundle of rank d and $\pi^\vee : V^\vee \rightarrow S$ the dual vector bundle. We will work with the bounded derived category $D_c^b(V) = D_c^b(V, R)$ of étale sheaves of R -modules with constructible cohomologies. Our results are also true when $D_c^b(V, R)$ is replaced by the full subcategory $D_{ctf}(V, R)$ consisting of complexes with finite Tor-dimension, or by $D_c^b(V, \overline{\mathbb{Q}}_\ell)$. All functors will be assumed to be derived.

We say a complex $M \in D_c^b(V)$ is monodromic if M is monodromic in the sense of Verdier [V1] after base change to \bar{k} . This is equivalent to the existence of an integer n coprime to p and an isomorphism $\theta(n)^* M \cong \text{pr}_2^* M$ where $\theta(n) : \mathbb{G}_m \times V \rightarrow V$ sends (λ, v) to $\lambda^n v$, and $\text{pr}_2 : \mathbb{G}_m \times V \rightarrow V$ is the projection [V1, Proposition 5.1]. We denote the monodromic subcategory by $D_{\text{mon}}^b(V)$. We recall the fact that $\pi_! \cong 0^!$ on monodromic complexes (cf. [V1, Lemme 6.1] or [S, Proposition 1] for two different methods of proof), where $0 : S \hookrightarrow V$ is the zero section.

The category $D_{ctf}(\mathbb{G}_m)$ of étale sheaves is monoidal with respect to convolution with compact support, which is defined by

$$L * K = m_!(L \boxtimes K)$$

where $m : \mathbb{G}_m \times \mathbb{G}_m \rightarrow \mathbb{G}_m$ is multiplication, and $L, K \in D_{ctf}(\mathbb{G}_m)$. This monoidal category acts on $D_c^b(V)$ by

$$L * M = \theta(1)_!(L \boxtimes M)$$

where $\theta(1) : \mathbb{G}_m \times V \rightarrow V$ is the action map, $L \in D_{ctf}(\mathbb{G}_m)$, and $M \in D_c^b(V)$.

2. THE FUNCTOR Four_B AND ITS SQUARE

Let $u : \mathbb{A}^1 - \{1\} \hookrightarrow \mathbb{A}^1$ be the open embedding removing $1 \in \mathbb{A}^1(k)$, and let $j : \mathbb{A}^1 - \{0\} \hookrightarrow \mathbb{A}^1$ be the open embedding removing zero. Define

$$B = u_* R \in D_{ctf}(\mathbb{A}^1).$$

One observes that $h_! B = 0$ where $h : \mathbb{A}^1 \rightarrow \text{Spec } k$ is the structure map, and $0^* B \cong R$ where $0 : \text{Spec } k \hookrightarrow \mathbb{A}^1$.

Define $\text{Four}_{V/S, B} : D_c^b(V) \rightarrow D_c^b(V^\vee)$ by

$$\text{Four}_{V/S, B}(M) = \text{pr}_1^\vee(\text{pr}^* M \otimes \mu^* B)[d]$$

where $\text{pr}^\vee : V^\vee \times_S V \rightarrow V^\vee$ and $\text{pr} : V^\vee \times_S V \rightarrow V$ are the projections and $\mu : V^\vee \times_S V \rightarrow \mathbb{A}^1$ is the natural pairing $(\xi, v) \mapsto \langle v, \xi \rangle$. This is the new Fourier transform that we will consider. Our goal in this section is to prove the following theorem.

Theorem 2.1. *There is a canonical isomorphism*

$$\text{Four}_{V^\vee/S, B} \circ \text{Four}_{V/S, B}(M) \cong j^* B * M(-d)[1].$$

for $M \in D_c^b(V)$.

Let $\text{pr}', \text{pr}'' : V \times_S V \rightarrow V$ be the first and second projections, respectively, and pr_{ij} the projection from $V \times_S V^\vee \times_S V$ to the product of the i 'th and j 'th factor. The usual formal argument shows that $\text{Four}_{V^\vee/S, B} \circ \text{Four}_{V/S, B}$ is isomorphic to the functor $M \mapsto \text{pr}'_!(\text{pr}''^* M \otimes K)$ where

$$K = \text{pr}_{13!}(\text{pr}_{12}^* \mu^* B \otimes \text{pr}_{23}^* \mu^* B)[2d].$$

We claim there exists a canonical isomorphism

$$(2.1.1) \quad K \cong \rho_! \text{pr}_1^* j^* B(-d)[1]$$

where $\rho : \mathbb{G}_m \times V \rightarrow V \times_S V$ is defined by $(\lambda, v) \mapsto (\lambda v, v)$, and $\text{pr}_1 : \mathbb{G}_m \times V \rightarrow \mathbb{G}_m$ is the natural projection. This claim implies the theorem since $\text{pr}_1^!(\text{pr}_1^{''*} M \otimes \rho_! \text{pr}_1^* j^* B) \cong j^* B * M$ by the projection formula.

We first establish two lemmas which will help us prove the claim.

Lemma 2.2. *If $v, w \in V(\bar{k})$ are not in the same \mathbb{G}_m -orbit, then the stalk $K_{(v,w)}$ equals 0.*

Proof. We can assume $S = \text{Spec } \bar{k}$. Clearly v and w cannot both be zero; we will assume $v \neq 0$. Since v, w are not in the same \mathbb{G}_m -orbit, there exists $\xi \in V^\vee(\bar{k})$ such that $\langle w, \xi \rangle = 0$ and $\langle v, \xi \rangle \neq 0$. Let $\langle v \rangle : V^\vee \rightarrow \mathbb{A}_{\bar{k}}^1$ denote the evaluation by v map. Split V^\vee as $\bar{k}\xi \oplus H_v$ where $H_v = (\bar{k}v)^\perp$. With respect to this decomposition, $\langle v \rangle^* B \otimes \langle w \rangle^* B \cong B \boxtimes (\langle w \rangle|_{H_v})^* B$. Then by Künneth formula,

$$\pi_1^\vee(\langle v \rangle^* B \otimes \langle w \rangle^* B) \cong h_! B \otimes (\pi^\vee|_{H_v})_!(\langle w \rangle|_{H_v})^* B = 0$$

Therefore $K_{(v,w)} = 0$. \square

Lemma 2.3. *There is a canonical isomorphism*

$$J^* K \cong J^* \rho_! \text{pr}_1^* j^* B(-d)[1]$$

where $J : V \times_S V - 0(S) \hookrightarrow V \times_S V$ is the open embedding removing zero.

Proof. We use V° to denote $V - 0(S)$. In this proof we will use ρ to denote the restricted morphism $\mathbb{G}_m \times V^\circ \hookrightarrow V \times_S V$, which is an immersion, and $\text{pr}_1 : \mathbb{G}_m \times V^\circ \rightarrow \mathbb{G}_m$ to denote the projection. From Lemma 2.2 we know that $J^* K$ is supported on the image of ρ . Thus it suffices to consider $\rho^* J^* K$. Define

$$\omega : \mathbb{G}_m \times V^\vee \times_S V^\circ \rightarrow \mathbb{G}_m \times \mathbb{A}^1 \times V^\circ$$

by sending (λ, ξ, v) to $(\lambda, \langle v, \xi \rangle, v)$. Then

$$\rho^* J^* K \cong \text{pr}_{13!} \omega_! \omega^* \text{pr}_{12}^*(m^* B \otimes p_2^* B)[2d]$$

where $\text{pr}_{13}, \text{pr}_{12}$ are projections from $\mathbb{G}_m \times \mathbb{A}^1 \times V^\circ$ and $m, p_2 : \mathbb{G}_m \times \mathbb{A}^1 \rightarrow \mathbb{A}^1$ are the multiplication and projection maps. Since ω is in fact a vector bundle of rank $d-1$, we see that $\omega_! R$ is isomorphic to $R(1-d)[2-2d]$. Therefore the projection formula implies that

$$\rho^* J^* K \cong \text{pr}_{13!} \text{pr}_{12}^*(m^* B \otimes p_2^* B)(1-d)[2].$$

We have a Cartesian square

$$\begin{array}{ccc} \mathbb{G}_m \times \mathbb{A}^1 \times V^\circ & \xrightarrow{\text{pr}_{12}} & \mathbb{G}_m \times \mathbb{A}^1 \\ \text{pr}_{13} \downarrow & & \downarrow \text{id} \times h \\ \mathbb{G}_m \times V^\circ & \xrightarrow{\text{pr}_1} & \mathbb{G}_m \end{array}$$

so proper base change gives $\text{pr}_{13!} \text{pr}_{12}^* \cong \text{pr}_1^*(\text{id} \times h)_!$. We have an exact triangle

$$R \rightarrow p_2^* B \rightarrow (\text{id} \times 1)_! R(-1)[-1]$$

where $1 : \text{Spec } k \hookrightarrow \mathbb{A}^1$ is the complement of u . Since $(\text{id} \times h)_!(m^* B) = 0$ by a change of variables, we deduce that

$$(\text{id} \times h)_!(m^* B \otimes p_2^* B) \cong (\text{id} \times h)_!(m^* B \otimes (\text{id} \times 1)_! R)(-1)[-1] \cong j^* B(-1)[-1].$$

Now it follows that $\rho^* J^* K \cong \text{pr}_1^* j^* B(-d)[1]$. \square

Proof of Theorem 2.1. The case $d = 0$ is obvious since $h_1 B = 0$ and $0^* B \cong R$. From now on we will assume that $d > 0$. We will show that both sides of (2.1.1) are in the essential image of the functor $\tau_{\leq 0} J_* J^*$, i.e., there are isomorphisms

$$K \cong \tau_{\leq 0} J_* J^*(K) \text{ and } \rho_! \text{pr}_1^* j^* B(-d)[1] \cong \tau_{\leq 0} J_* J^*(\rho_! \text{pr}_1^* j^* B(-d)[1]).$$

The claimed existence of an isomorphism (2.1.1) will then follow from Lemma 2.3.

A stalk computation shows that $\rho_! \text{pr}_1^* j^* B(-d)[1]$ lives in non-positive degrees. We claim that the natural morphism

$$(2.3.1) \quad \rho_! \text{pr}_1^* j^* B(-d)[1] \rightarrow \tau_{\leq 0}(J_* J^* \rho_! \text{pr}_1^* j^* B(-d)[1])$$

is an isomorphism. Let $0 : S \hookrightarrow V \times_S V$ denote the zero section. From the exact triangle $0_! 0^! \rightarrow \text{id} \rightarrow J_* J^*$, it suffices to show that $0^! \rho_! \text{pr}_1^* j^* B \in D_c^{\geq 2d}(S)$. Observe that ρ is \mathbb{G}_m -equivariant with respect to the \mathbb{G}_m -action on the second coordinate of $\mathbb{G}_m \times V$ and the diagonal action of \mathbb{G}_m on $V \times_S V$. This implies that $\rho_! \text{pr}_1^* j^* B$ is monodromic. Thus

$$0^! \rho_! \text{pr}_1^* j^* B \cong h_{1!} j_! j^* B(-d)[-2d] \cong R(-d)[-2d-1].$$

Therefore $0^! \rho_! \text{pr}_1^* j^* B \in D_c^{\geq 2d}(S)$.

One easily sees that $K_{(0,0)} \cong R(-d)$. Thus K lives in non-positive cohomological degrees. To show that the natural morphism $K \rightarrow \tau_{\leq 0} J_* J^* K$ is an isomorphism, it suffices by the same argument as above to prove $0^! K \in D_c^{\geq 2d}(S)$. One observes from the definition of K that K is monodromic with respect to the diagonal \mathbb{G}_m -action on $V \times_S V$. Therefore

$$0^! K \cong \tilde{\pi}_!(\text{pr}_{12}^* \mu^* B \otimes \text{pr}_{23}^* \mu^* B)[2d]$$

where $\tilde{\pi} : V \times_S V^\vee \times_S V \rightarrow S$ is the structure map. By projection formula and proper base change, the right hand side is isomorphic to

$$\pi'_!(\mu^* B \otimes \text{pr}^{\vee,*} \text{pr}_!^\vee \mu^* B)[2d]$$

for $\pi' : V \times_S V^\vee \rightarrow S$ the structure map. The fact that $h_1 B = 0$ implies that $\text{pr}_!^\vee \mu^* B$ is supported at $0(S) \subset V^\vee$, and $0^* \text{pr}_!^\vee \mu^* B \cong R(-d)[-2d]$. We deduce that

$$0^! K \cong \pi_! R(-d) \cong R(-2d)[-2d],$$

which proves the claim, and hence the theorem. \square

3. PROPERTIES OF Four_B

Remark 3.1. The functor $\text{Four}_{V/S,B}$ is not an equivalence on $D_c^b(V) \rightarrow D_c^b(V^\vee)$. Consider the one-dimensional case $V = \mathbb{A}_S^1$. Then $\text{Four}_{V/S,B}(0_! R) = R[1]$ and $\text{Four}_{V/S,B}(1_! R) = B[1]$. We have $\text{Hom}(R, B) \neq 0$ but $\text{Hom}(0_! R, 1_! R) = 0$, so $\text{Four}_{V/S,B}$ is not fully faithful.

3.2. Relation to quotient stacks. Let $p : V \rightarrow \mathcal{V} = [V/\mathbb{G}_m]$ and $p^\vee : V^\vee \rightarrow \mathcal{V}^\vee = [V^\vee/\mathbb{G}_m]$ denote the canonical projections to the quotient stacks. By [L, Lemme 3.2], Laumon's homogeneous transform $\text{Four}_{\mathcal{V}/S} : D_c^b(\mathcal{V}) \rightarrow D_c^b(\mathcal{V}^\vee)$ is canonically isomorphic to the functor

$$(3.2.1) \quad K \mapsto \text{pr}_!^\vee(\text{pr}^* K \otimes \mu^* f_! B_S)[d]$$

where $f : \mathbb{A}_S^1 \rightarrow \mathcal{A}_S$ is the quotient morphism and B_S denotes the base change of B from \mathbb{A}_k^1 to \mathbb{A}_S^1 . We abuse notation and use $\text{pr}^\vee : \mathcal{V}^\vee \times_S \mathcal{V} \rightarrow \mathcal{V}^\vee$, $\text{pr} : \mathcal{V}^\vee \times_S \mathcal{V} \rightarrow \mathcal{V}$, and $\mu : \mathcal{V}^\vee \times_S \mathcal{V} \rightarrow \mathcal{A}_S$ to also denote the induced maps on stacks.

Proposition 3.3. *The composed functors*

$$(p^\vee)^* \circ \text{Four}_{\mathcal{V}/S} \text{ and } \text{Four}_{\mathcal{V}/S,B} \circ p^* : D_c^b(\mathcal{V}) \rightarrow D_c^b(\mathcal{V}^\vee)$$

are canonically isomorphic.

Proof. The proposition follows from (3.2.1) by applying proper base change to the Cartesian squares

$$\begin{array}{ccc} [V^\vee \times_S V / \mathbb{G}_m] & \longrightarrow & \mathbb{A}^1 \\ \downarrow & & \downarrow f \\ \mathcal{V}^\vee \times_S \mathcal{V} & \xrightarrow{\mu} & \mathcal{A}_S \end{array} \quad \begin{array}{ccc} V^\vee \times_S V & \longrightarrow & [V^\vee \times_S V / \mathbb{G}_m] \\ \downarrow & & \downarrow \\ V^\vee & \longrightarrow & \mathcal{V}^\vee \end{array}$$

where \mathbb{G}_m acts on $V^\vee \times_S V$ anti-diagonally. \square

Proposition 3.4. *Let $V' = V \times \mathbb{A}^1$ and let \mathbb{G}_m act on both V and \mathbb{A}^1 . We have a canonical open embedding $\nu : V \hookrightarrow [V'/\mathbb{G}_m] : v \mapsto (v, 1)$. Similarly, we have $\nu^\vee : V^\vee \hookrightarrow [(V')^\vee/\mathbb{G}_m]$ defined by $\nu^\vee(\xi) = (\xi, -1)$. The composed functor*

$$D_c^b(V) \xrightarrow{\nu_!} D_c^b([V'/\mathbb{G}_m]) \xrightarrow{\text{Four}_{[V'/\mathbb{G}_m]/S}} D_c^b([(V')^\vee/\mathbb{G}_m]) \xrightarrow{(\nu^\vee)^*} D_c^b(V^\vee)$$

is isomorphic to $\text{Four}_{V/S, B}$.

Proof. Observe that ν factors into the composition of an open affine chart $V \hookrightarrow \mathbb{P}(V')$ and the open embedding $\mathbb{P}(V') = [(V' - 0(S))/\mathbb{G}_m] \hookrightarrow [V'/\mathbb{G}_m]$. Similarly, we have a factorization of ν^\vee . The proposition now follows from [L, Proposition 1.6], since the restriction of the incidence hyperplane in $\mathbb{P}((V')^\vee) \times_S \mathbb{P}(V')$ to $V^\vee \times_S V$ is $\mu^{-1}(\{1\})$. \square

3.5. An equivalence induced by $\text{Four}_{V/S, B}$. Let $p : V \rightarrow \mathcal{V}$ be as in the previous subsection.

Proposition 3.6. *Let \mathcal{C}_V denote the full subcategory of $D_c^b(V)$ consisting of complexes M such that $p_! M = 0$. The functor $\text{Four}_{V/S, B}$ induces an equivalence $\mathcal{C}_V \rightarrow \mathcal{C}_{V^\vee}$.*

Proof. Proper base change and projection formula imply that $\text{Four}_{V/S, B}$ sends \mathcal{C}_V to \mathcal{C}_{V^\vee} and vice versa. We also see by proper base change that $p^* p_! M \cong R * M$ for $M \in D_c^b(V)$, where R is the constant sheaf on \mathbb{G}_m . From the exact triangle $1_! R(-1)[-2] \rightarrow R \rightarrow B$ we deduce that $j^* B * M \cong M(-1)[-1]$ for $M \in \mathcal{C}_V$. Therefore Theorem 2.1 implies that

$$\text{Four}_{V^\vee/S, B} \circ \text{Four}_{V/S, B}(M) \cong M(-d-1)$$

for $M \in \mathcal{C}_V$, and we deduce the proposition. \square

3.7. Monodromic complexes. We will show that $\text{Four}_{V/S, B}$ also induces an equivalence on the subcategories of monodromic complexes. We use the notation and results of Appendix A.

Theorem 3.8. (i) *The functor $\text{Four}_{V/S, B}$ preserves monodromicity, and the restriction defines an equivalence $D_{\text{mon}}^b(V) \rightarrow D_{\text{mon}}^b(V^\vee)$.*

(ii) *For $N \in D_{\text{mon}}^b(V^\vee)$, the pro-object*

$$(3.8.1) \quad \text{pr}_!(\text{pr}^{\vee,*} N \otimes \mu^* j_* I^0)(d+1)[d+1]$$

is essentially constant².

(iii) *The functor $D_{\text{mon}}^b(V^\vee) \rightarrow D_{\text{mon}}^b(V)$ defined by (3.8.1) is quasi-inverse to $\text{Four}_{V/S, B}$.*

Since B is not monodromic, our first step is to compute the “monodromization” of B .

Lemma 3.9. *There is an isomorphism of pro-objects*

$$I^0 * B \cong j_* I^1(-1)[-1].$$

²A pro-object is essentially constant if it is isomorphic to an object of $D_{\text{mon}}^b(V)$, which is considered as a pro-object via the constant embedding.

Proof. First we show that the restriction $I^0 * j^* B$ is isomorphic to $I^1(-1)[-1]$. The exact triangle $1_! R(-1)[-2] \rightarrow R \rightarrow B$ induces by convolution exact triangles

$$I_n^0(-1)[-2] \rightarrow I_n^0 * R \rightarrow I_n^0 * j^* B$$

for $p \nmid n$. Taking “ $\underline{\text{lim}}$ ” and using Lemma A.4, the first arrow is isomorphic to the augmentation map $I^0(-1)[-2] \rightarrow R(-1)[-2]$. Therefore we deduce that the pro-object $I^0 * j^* B$ is isomorphic to $I^1(-1)[-1]$.

To complete the proof, it suffices to show that the canonical morphism

$$I^0 * B \rightarrow j_* j^*(I^0 * B)$$

is an isomorphism. This is equivalent to proving that $0^!(I^0 * B) = 0$. Since $I^0 * B$ is monodromic, $0^!(I^0 * B) \cong h_!(I^0 * B)$. By the Kunnetth formula, $h_!(I^0 * B) \cong h_! j_! I^0 \otimes h_! B = 0$. \square

Proof of Theorem 3.8. One easily sees that $\text{Four}_{V/S,B}$ preserves monodromicity. Theorem 2.1 and Lemma A.4 together imply that for $M \in D_{\text{mon}}^b(V)$, we have

$$\text{Four}_{V^\vee/S,B} \circ \text{Four}_{V/S,B}(M) \cong I^1 * M(-d)[2].$$

Since $I^{-1} * I^1 \cong I^0(-1)[-2]$ by Corollary A.9, we deduce that $\text{Four}_{V/S,B}$ is an equivalence, with inverse functor $I^{-1} * \text{Four}_{V^\vee/S,B}(d+2)[2]$. Lemmas 3.9 and A.4 imply that for $N \in D_{\text{mon}}^b(V^\vee)$, we have isomorphisms

$$I^{-1} * \text{Four}_{V^\vee/S,B}(N) \cong I^{-1} * \text{pr}_!(\text{pr}^{\vee,*} N \otimes \mu^* j_* I^1)[d+1].$$

Applying Corollary A.9 again, we get (iii). \square

Remark 3.10. Observe that the formula (3.8.1) is very similar to Beilinson’s suggested definition of the monodromic Fourier transform in [B2].

Proposition 3.11. *The object $j^* B \in D_{\text{ctf}}(\mathbb{G}_m)$ satisfies the following properties:*

- (1) $j^* B$ is not invertible in the monoidal category $D_{\text{ctf}}(\mathbb{G}_m)$.
- (2) $j^* B$ is invertible in the quotient of $D_{\text{ctf}}(\mathbb{G}_m)$ by the ideal generated by the constant sheaf R .
- (3) There are canonical isomorphisms $I_n^0 * j^* B \cong I_n^1(-1)[-2]$ for $p \nmid n$.

Proof. We showed in Remark 3.1 that $\text{Four}_{\mathbb{A}^1,B}$ is not an equivalence on $D_c^b(\mathbb{A}^1)$. Since $\text{Four}_{\mathbb{A}^1,B}^2(M)$ is isomorphic to $j^* B * M(-1)[1]$, we deduce that $j^* B$ is not invertible in the monoidal category $D_{\text{ctf}}(\mathbb{G}_m)$.

From the exact triangle $1_! R(-1)[-2] \rightarrow R \rightarrow j^* B$ on \mathbb{G}_m , we see that in the quotient of $D_{\text{ctf}}(\mathbb{G}_m)$ by the ideal generated by R , the object $j^* B$ is isomorphic to $1_! R(-1)[-1]$, which is invertible.

Lemma 3.9 gives an isomorphism $I^0 * j^* B \cong I^1(-1)[-2]$. Convoluting with I_n^0 , we get an isomorphism $I_n^0 * j^* B \cong I_n^0 * I^1$. One observes that $I_n^0 * I^1 \cong I_n^1(-1)[-2]$ by Corollary A.9. \square

4. RELATION TO FOURIER-DELIGNE TRANSFORM

Suppose that k has characteristic $p > 0$. Assume that R contains a primitive p -th root of unity ζ (where “primitive” means that $\zeta - 1$ is invertible). Let $\psi : \mathbb{F}_p \rightarrow R^\times$ be the corresponding additive character with $\psi(1) = \zeta$, and let \mathcal{L}_ψ denote the Artin-Schreier sheaf. The usual Fourier-Deligne transform $\text{Four}_{V/S,\mathcal{L}_\psi} : D_c^b(V) \rightarrow D_c^b(V^\vee)$ is defined by

$$\text{Four}_{V/S,\mathcal{L}_\psi}(M) = \text{pr}_!^\vee(\text{pr}^* M \otimes \mu^* \mathcal{L}_\psi)[d].$$

Lemma 4.1. *There is a canonical isomorphism*

$$\iota^* j^* \mathcal{L}_\psi * \mathcal{L}_\psi \cong B[-1]$$

where $\iota : \mathbb{G}_m \rightarrow \mathbb{G}_m$ sends $\lambda \mapsto -\lambda^{-1}$.

Proof. By a change of variables, $\iota^* j^* \mathcal{L}_\psi * \mathcal{L}_\psi$ is isomorphic to $\text{Four}_{\mathbb{A}^1, \mathcal{L}_{\psi^{-1}}}(j! j^* \mathcal{L}_\psi)[-1]$. We have an exact triangle

$$j! j^* \mathcal{L}_\psi \rightarrow \mathcal{L}_\psi \cong \text{Four}_{\mathbb{A}^1, \mathcal{L}_\psi}(1_! R[-1]) \rightarrow 0_* R.$$

Applying $\text{Four}_{\mathbb{A}^1, \mathcal{L}_{\psi^{-1}}}$ and using the Fourier-Deligne inversion formula on the middle term, we have an exact triangle

$$\text{Four}_{\mathbb{A}^1, \mathcal{L}_{\psi^{-1}}}(j! j^* \mathcal{L}_\psi) \rightarrow 1_! R(-1)[-1] \rightarrow R[1].$$

This induces an isomorphism $\text{Four}_{\mathbb{A}^1, \mathcal{L}_{\psi^{-1}}}(j! j^* \mathcal{L}_\psi) \rightarrow u_* R = B$. Since $\text{Hom}(1_! R(-1)[-1], R) = 0$, this isomorphism is unique. \square

Corollary 4.2. *In characteristic $p > 0$, we have a canonical isomorphism*

$$\text{Four}_{V/S, B}(M) \cong \iota^* j^* \mathcal{L}_\psi * \text{Four}_{V/S, \mathcal{L}_\psi}(M)[1].$$

4.3. Monodromization of \mathcal{L}_ψ over \bar{k} . We use the notation and results of Appendix A. Suppose that k is algebraically closed, so A^0 is simply a ring instead of a sheaf of rings (i.e., there is no Galois action).

Lemma 4.4. *There exists a (non-canonical) isomorphism of pro-objects*

$$I^0 * \mathcal{L}_\psi \cong j_* I^0[-1].$$

Proof. As in the proof of Lemma 3.9, it suffices to prove the isomorphism after restriction to \mathbb{G}_m . Let n be coprime to p . By proper base change,

$$1^*(I_n^0 * j^* \mathcal{L}_\psi) \cong \Gamma_c(\mathbb{G}_m, I_n^0 \otimes_R j^* \mathcal{L}_\psi)$$

where we observe that the pullback of I_n^0 under the multiplicative inverse map $\mathbb{G}_m \rightarrow \mathbb{G}_m$ is isomorphic to I_n^0 . Since I_n^0 is tamely ramified at $\infty \in \mathbb{P}^1(k)$, the canonical map

$$\Gamma_c(\mathbb{A}^1, j! I_n^0 \otimes \mathcal{L}_\psi) \rightarrow \Gamma(\mathbb{A}^1, j! I_n^0 \otimes \mathcal{L}_\psi)$$

is an isomorphism (cf. proof of [KW, Lemma 7.1(1)]). In particular $\Gamma_c(\mathbb{G}_m, I_n^0 \otimes j^* \mathcal{L}_\psi)$ lives in cohomological degrees 0 and 1. Since $I_n^0 \otimes j^* \mathcal{L}_\psi$ is locally constant and \mathbb{G}_m is not complete, $H_c^0(\mathbb{G}_m, I_n^0 \otimes j^* \mathcal{L}_\psi) = 0$. Thus $\Gamma_c(\mathbb{G}_m, I_n^0 \otimes j^* \mathcal{L}_\psi)$ lives only in cohomological degree 1.

We now consider I_n^0 as a locally free sheaf of A_n^0 -modules of rank 1. If we let ψ' denote the composition $\mathbb{F}_p \rightarrow R^\times \rightarrow (A_n^0)^\times$, then $\mathcal{L}_\psi \otimes_R A_n^0 \cong \mathcal{L}_{\psi'}$, where the latter is the Artin-Schreier sheaf with respect to ψ' as a locally free sheaf of A_n^0 -modules of rank 1. Hence $\mathcal{F} := I_n^0 \otimes_{A_n^0} j^* \mathcal{L}_{\psi'}$, which is isomorphic to $I_n^0 \otimes_R j^* \mathcal{L}_\psi$, is a locally free sheaf of A_n^0 -modules of rank 1. In particular, $\mathcal{F} \in D_{ctf}(\mathbb{G}_m, A_n^0)$ and $\Gamma_c(\mathbb{G}_m, \mathcal{F})[1]$ is quasi-isomorphic to a finite projective A_n^0 module P . Applying the Grothendieck-Ogg-Shafarevich formula [SGA5, Exposé X, Corollaire 7.2], one checks that the fiber of P over any point of $\text{Spec } A_n^0$ has dimension 1. So there exists an isomorphism $P \cong A_n^0$ of A_n^0 -modules. Observe from the Cartesian square

$$\begin{array}{ccc} \mathbb{G}_m \times \mathbb{G}_m \times \mathbb{G}_m & \xrightarrow[\text{pr}_2 \times \text{id}_{\mathbb{G}_m}]{\theta(n) \times \text{id}_{\mathbb{G}_m}} & \mathbb{G}_m \times \mathbb{G}_m \\ \text{id}_{\mathbb{G}_m} \times m \downarrow & & \downarrow m \\ \mathbb{G}_m \times \mathbb{G}_m & \xrightarrow[\text{pr}_2]{\theta(n)} & \mathbb{G}_m \end{array}$$

that $I_n^0 * j^* \mathcal{L}_\psi$ is monodromic, and the monodromy action is induced by the monodromy action on I_n^0 . Hence by Corollary A.7, there exists an isomorphism $I_n^0 * j^* \mathcal{L}_\psi[1] \cong I_n^0$.

Suppose n' is a multiple of n and $p \nmid n'$. The kernel \mathcal{K} of the surjection $I_{n'}^0 \rightarrow I_n^0$ is tamely ramified, so $H_c^2(\mathbb{G}_m, \mathcal{K} \otimes j^* \mathcal{L}_\psi) = 0$ by the same argument as above. We deduce that

$$I_{n'}^0 * j^* \mathcal{L}_\psi[1] \rightarrow I_n^0 * j^* \mathcal{L}_\psi[1]$$

is a surjection of sheaves. Since $(A_{n'}^0)^\times \rightarrow (A_n^0)^\times$ is also surjective, we can find a projective system of isomorphisms $I_n^0 * j^* \mathcal{L}_\psi[1] \cong I_n^0$ inducing an isomorphism of pro-sheaves. \square

Corollary 4.5. *When k is algebraically closed, there exists a (non-canonical) isomorphism between the functors $\text{Four}_{V/S,B}$ and $\text{Four}_{V/S,\mathcal{L}_\psi}$ restricted to $D_{\text{mon}}^b(V) \rightarrow D_{\text{mon}}^b(V^\vee)$.*

Proof. Lemma 3.9 and Remark A.3 imply that there exists an isomorphism $I^0 * B \cong j_* I^0[-1]$. The latter is also isomorphic to $I^0 * \mathcal{L}_\psi$ by Lemma 4.4. One easily sees that the Fourier-Deligne transform preserves monodromicity, and the isomorphism of restricted functors follows from Lemma A.4. \square

4.6. The universal Gauss sum. Let k once again be arbitrary. Define the pro-object

$$\mathcal{G} = I^0 * j^* \mathcal{L}_\psi(1)[1].$$

Lemma 4.4 implies that \mathcal{G} is a monodromic pro-sheaf, and there exists a trivialization $\mathcal{G} \cong I^0$ after base changing from k to \bar{k} . Under the equivalence of abelian categories in Corollary A.7, we see that \mathcal{G} corresponds to an invertible (locally free of rank 1) A^0 -module on $\text{Spec } k$. We are motivated by [SGA4h, Exposé VI, §4] to think of \mathcal{G} as a “universal Gauss sum”.

Define $\iota : \mathbb{G}_m \rightarrow \mathbb{G}_m$ by $\iota(\lambda) = -\lambda^{-1}$. Then Lemmas 3.9 and 4.1 give a canonical isomorphism

$$\iota^* \mathcal{G} * \mathcal{G} \cong I^1[-2].$$

We also see that the Fourier-Deligne transform on monodromic complexes is isomorphic to the functor $M \mapsto \text{pr}_1^\vee(\text{pr}^* M \otimes \mu^* j_* \mathcal{G})[d+1]$ on $D_{\text{mon}}^b(V) \rightarrow D_{\text{mon}}^b(V^\vee)$. By Corollary 4.2, we have

$$\text{Four}_{V/S,B}(M) \cong \iota^* \mathcal{G} * \text{Four}_{V/S,\mathcal{L}_\psi}(M)[2].$$

for M monodromic.

5. RELATION TO FOURIER TRANSFORM ON \mathcal{D} -MODULES

Let k be algebraically closed of characteristic 0. We use $\mathcal{M}(V)$ to denote the abelian category of quasicoherent right \mathcal{D} -modules on V . Let $\mathcal{L} = \mathcal{D}_{\mathbb{A}^1}/(1-\partial_x)\mathcal{D}_{\mathbb{A}^1}$ be the exponential \mathcal{D} -module on $\mathbb{A}^1 = \text{Spec } k[x]$. The Fourier transform is the functor $DM(V) \rightarrow DM(V^\vee)$ defined by

$$\text{Four}_{V/S,\mathcal{L}}(M) = \text{pr}_*^\vee(\text{pr}^! M \otimes \mu^! \mathcal{L})[1-d].$$

It is well known [KL, Lemme 7.1.4] that this functor can also be described using the isomorphism between the algebras of polynomial differential operators $\mathcal{D}_{V^\vee} \rightarrow \mathcal{D}_V$ defined in local coordinates by

$$k[\xi_1, \dots, \xi_d, \partial_{\xi_1}, \dots, \partial_{\xi_d}] \rightarrow k[v_1, \dots, v_d, \partial_{v_1}, \dots, \partial_{v_d}] : \xi_i \mapsto -\partial_{v_i}, \partial_{\xi_i} \mapsto v_i.$$

In the \mathcal{D} -module situation, the analog of B is $u_! u^!(\omega_{\mathbb{A}^1})$, where $\omega_{\mathbb{A}^1}$ is the sheaf of differentials on \mathbb{A}^1 viewed as a right \mathcal{D} -module. We will also call this \mathcal{D} -module B . A simple calculation shows that³

$$B = k[x, \partial_x]/\partial_x(x-1)k[x, \partial_x].$$

³Beilinson observed that B essentially describes the differential equation for a shift of the Heaviside step function.

We define $\text{Four}_{V/S,B} : \mathcal{DM}(V) \rightarrow \mathcal{DM}(V^\vee)$ by

$$\text{Four}_{V/S,B}(M) = \text{pr}_*^\vee(\text{pr}^! M \overset{\dagger}{\otimes} \mu^! B)[1-d].$$

Consider $\mathcal{DM}(\mathbb{G}_m)$ with the monoidal structure induced by convolution without compact support $L * K := m_*(L \boxtimes K)$. This monoidal category acts on $\mathcal{DM}(V)$ by $L * M = \theta(1)_*(L \boxtimes M)$. The proof of Lemma 4.1 can be easily modified to prove the following analog of the lemma and Corollary 4.2.

Proposition 5.1. *There is a canonical isomorphism*

$$\iota^* j^* \mathcal{L} * \mathcal{L} \cong B$$

where $\iota : \mathbb{G}_m \rightarrow \mathbb{G}_m$ sends $\lambda \mapsto -\lambda^{-1}$. Consequently, we have a canonical isomorphism

$$\text{Four}_{V/S,B}(M) \cong \iota^* j^* \mathcal{L} * \text{Four}_{V/S,\mathcal{L}}(M).$$

5.2. Mellin transform of j^*B . Let \mathfrak{B} denote the Mellin transform of j^*B , viewed as a \mathbb{Z} -equivariant quasicoherent \mathcal{O} -module on $\mathbb{A}^1 = \text{Spec } k[s]$. The Mellin transform functor

$$\mathfrak{M} : \mathcal{M}(\mathbb{G}_m) \rightarrow \text{QCoh}(\mathbb{A}^1)^{\mathbb{Z}}$$

is defined by considering $\mathcal{D}(\mathbb{G}_m)$ as the algebra of difference operators $\mathcal{D} = k[s]\langle T, T^{-1} \rangle / (sT - T(s+1))$ under the identifications $s = x\partial_x$ and $T = x$. We consider the derived category of \mathbb{Z} -equivariant $\mathcal{O}_{\mathbb{A}^1}$ -modules $D(\text{QCoh}(\mathbb{A}^1)^{\mathbb{Z}})$ with monoidal structure induced by the usual derived tensor product over $k[s]$. This monoidal structure corresponds to the convolution product on $\mathcal{DM}(\mathbb{G}_m)$. More precisely, $\mathfrak{M}(L * K) \cong \mathfrak{M}(L) \otimes_{k[s]} \mathfrak{M}(K)$.

We start by proving the following proposition, which is an analog of Proposition 3.11 in the \mathcal{D} -module setting.

Proposition 5.3. *The module \mathfrak{B} satisfies the following properties:*

- (1) \mathfrak{B} is not invertible in $D(\text{QCoh}(\mathbb{A}^1)^{\mathbb{Z}})$.
- (2) The restriction of \mathfrak{B} to $\mathbb{A}^1 - \mathbb{Z} := \text{Spec } k[s][s^{-1}, (s \pm 1)^{-1}, \dots]$ is invertible.
- (3) For any $\chi \in k$ and $n \in \mathbb{N}$, there exists an isomorphism

$$\bigoplus_{i \in \mathbb{Z}} k[s]/(s - \chi - i)^n \cong \bigoplus_{i \in \mathbb{Z}} \mathfrak{B} \otimes_{k[s]} k[s]/(s - \chi - i)^n$$

of \mathcal{D} -modules, where T acts on $k[s]$ by translation.

In order to prove the proposition, we will need an explicit description of \mathfrak{B} . Consider $k(s)$ as a right \mathcal{D} -module where T acts by translation. Let \mathfrak{B}' denote the \mathcal{D} -submodule of $k(s)$ generated by $\frac{1}{s}$, or equivalently, the $k[s]$ -submodule generated by $\frac{1}{s+i}$ for all $i \in \mathbb{Z}$.

Lemma 5.4. *There exists an isomorphism of \mathcal{D} -modules $\mathfrak{B} \cong \mathfrak{B}'$.*

Proof. We have $\partial_x x = x\partial_x + 1$ so $\partial_x(x-1) = (s+1) - T^{-1}s$ in \mathcal{D} . Therefore

$$\mathfrak{B} = \mathcal{D}/((s+1) - T^{-1}s)\mathcal{D}.$$

Let $\mathbf{1}$ denote the generator of \mathfrak{B} . Conjugating $sT = T(s+1)$ in \mathcal{D} by T^{-1} gives $T^{-1}s = (s+1)T^{-1}$ in \mathcal{D} . Using this equality, $\mathbf{1}(s+1) = \mathbf{1}T^{-1}s = \mathbf{1}(s+1)T^{-1}$ in \mathfrak{B} , and acting on the right by T gives $\mathbf{1}(s+1)T = \mathbf{1}(s+1)$. Using these relations, we deduce that \mathfrak{B} is generated over k by $\mathbf{1}T^i$ for $i \in \mathbb{Z}$ and $\mathbf{1}s^j$ for $j > 0$. Then $\mathbf{1} \mapsto \frac{1}{s+1}$ defines a morphism of \mathcal{D} -modules $\mathfrak{B} \rightarrow k(s)$. Since $\frac{1}{s+i}$ for $i \in \mathbb{Z}$ and s^j for $j \geq 0$ are k -linearly independent in $k(s)$, we see that this morphism is an injection $\mathfrak{B} \hookrightarrow k(s)$. The image is \mathfrak{B}' . \square

Proof of Proposition 5.3. Suppose that \mathfrak{B} is invertible in $D(\mathrm{QCoh}(\mathbb{A}^1)^{\mathbb{Z}})$, i.e., there exists an object N of this monoidal category such that $\mathfrak{B} \otimes_{k[s]} N \cong k[s]$. Then $N \cong \mathrm{Hom}_{k[s]}(k[s], N) \cong \mathrm{Hom}_{k[s]}(\mathfrak{B}, k[s])$. There are no nonzero morphisms from \mathfrak{B}' to $k[s]$, so $H^0 N = 0$. On the other hand, since $k(s) \otimes_{k[s]} \mathfrak{B}' \cong k(s)$, we have $k(s) \otimes_{k[s]} N \cong k(s)$, which implies that $H^0 N \neq 0$. We thus get a contradiction, so \mathfrak{B} is not invertible.

Since $\mathcal{O}(\mathbb{A}^1 - \mathbb{Z}) = k[s][s^{-1}, (s \pm 1)^{-1}, \dots] \subset k(s)$, we see that

$$\mathcal{O}(\mathbb{A}^1 - \mathbb{Z}) \otimes_{k[s]} \mathfrak{B}' = \mathcal{O}(\mathbb{A}^1 - \mathbb{Z}) \subset k(s)$$

is the identity object, proving (2).

The direct sums in (3) only depend on the class $\bar{\chi}$ of χ in k/\mathbb{Z} . If $\bar{\chi} = 0 + \mathbb{Z}$ we will assume that $\chi = 0$. Let $\mathfrak{B}_i \subset \mathfrak{B}'$ denote the $k[s]$ -submodule generated by $\frac{1}{s-i}$. Then $\mathfrak{B}'/\mathfrak{B}_i$ is isomorphic to the direct sum of skyscraper modules $k[s]/(s-j)$ for integers $j \neq i$. Thus $(\mathfrak{B}'/\mathfrak{B}_i) \otimes_{k[s]} k[s]/(s-\chi-i)^n = 0$. On the other hand \mathfrak{B}_i is free, so $\mathfrak{B}' \otimes_{k[s]} k[s]/(s-\chi-i)^n$ is free with generator $\frac{1}{s-i} \otimes 1$. These basis elements give our desired isomorphism, which evidently commutes with the action of T . \square

5.5. Monodromization. The \mathbb{G}_m -action on V induces an algebra map $k[s] \rightarrow \mathcal{D}_V$, where $s = x\partial_x$ is the invariant vector field on \mathbb{G}_m . We say that $M \in \mathcal{M}(V)$ is monodromic if every local section $m \in M$ is killed by some nonzero polynomial in $s = x\partial_x$. In other words, M is monodromic if it is a torsion module over $k[s]$. This definition of monodromic was introduced by Verdier [V2]. Define an object of $DM(V)$ to be monodromic if each of its cohomology \mathcal{D} -modules is monodromic. We denote this full subcategory by $D_{\mathrm{mon}}\mathcal{M}(V) \subset DM(V)$.

For any $\chi \in k$ and $n \in \mathbb{N}$, let $A_{\chi,n} \subset k(s)$ consist of those rational functions with poles of order $\leq n$ at $\chi + \mathbb{Z}$ and no other poles. Define $I_{\chi}^{0,n} \in \mathcal{M}(\mathbb{G}_m)$ to be the inverse Mellin transform $\mathfrak{M}^{-1}(A_{\chi,n}/k[s])$. The inclusions $A_{\chi,n} \rightarrow A_{\chi,n+1}$ induce morphisms $I_{\chi}^{0,n} \rightarrow I_{\chi}^{0,n+1}$, which form an inductive system of \mathcal{D} -modules. Define

$$I^0 = \bigoplus_{\bar{\chi} \in k/\mathbb{Z}} \varinjlim_n I_{\chi}^{0,n} \in \mathcal{M}(\mathbb{G}_m)$$

where $\chi \in k$ is any lift of $\bar{\chi}$. It follows that $\mathfrak{M}(I^0) = k(s)/k[s]$.

Let $\underline{1}$ be the unit object in the monoidal category $DM(\mathbb{G}_m)$, so $\mathfrak{M}(\underline{1}) = k[s]$. The canonical extension of $k(s)/k[s]$ by $k[s]$ defines an extension of I^0 by $\underline{1}$ and therefore a morphism

$$\varepsilon : I^0 \rightarrow \underline{1}[1].$$

The monoidal category $DM(\mathbb{G}_m)$ acts on $DM(V)$ by convolution (without compact support).

Lemma 5.6. *An object $M \in DM(V)$ is monodromic if and only if the morphism $I^0 * M \rightarrow M[1]$ induced by ε is an isomorphism.*

Proof. A calculation using the relative de Rham complex with respect to the action map $\mathbb{G}_m \times V \rightarrow V$ shows that for any $M \in DM(V)$ and $N \in DM(\mathbb{G}_m)$, there is a canonical isomorphism $N * M \cong \mathfrak{M}(N) \otimes_{k[s]} M$ in the derived category of (sheaves of) $k[s]$ -modules. This implies that the cocone of the morphism $I^0 * M \rightarrow M[1]$ is isomorphic (in the derived category of $k[s]$ -modules) to $k(s) \otimes_{k[s]} M$. But $k(s)$ is flat over $k[s]$, so the vanishing of the cohomologies of $k(s) \otimes_{k[s]} M$ is equivalent to the cohomologies of M being torsion modules over $k[s]$. \square

See [B1], [Li], and [DG, C.2] for further details in the unipotently monodromic case (when $\chi = 1$).

Lemma 5.7. *There exists an inductive system of isomorphisms*

$$I_\chi^{0,n} * B \cong j_! I_\chi^{0,n} \cong I_\chi^{0,n} * \mathcal{L}.$$

Proof. Since $h_* B = h_* \mathcal{L} = 0$, it suffices as in Lemma 3.9 to give isomorphisms of the above objects after restriction to \mathbb{G}_m . In fact, it suffices to construct isomorphisms between the Mellin transforms of these restrictions, i.e., isomorphisms $\mathfrak{M}(I_\chi^{0,n} * j^* B) \cong \mathfrak{M}(I_\chi^{0,n}) \cong \mathfrak{M}(I_\chi^{0,n} * j^* \mathcal{L})$. This is equivalent to constructing isomorphisms

$$(5.7.1) \quad \mathfrak{M}(I_\chi^{0,n}) \otimes_{k[s]} \mathfrak{B} \cong \mathfrak{M}(I_\chi^{0,n}), \quad \mathfrak{B} := \mathfrak{M}(j^* B),$$

$$(5.7.2) \quad \mathfrak{M}(I_\chi^{0,n}) \otimes_{k[s]} E \cong \mathfrak{M}(I_\chi^{0,n}), \quad E := \mathfrak{M}(j^* \mathcal{L}).$$

Note that we have isomorphisms

$$(5.7.3) \quad \mathfrak{M}(I_\chi^{0,n}) = A_{\chi,n}/k[s] \cong \bigoplus_{i \in \mathbb{Z}} k[s]/(s - \chi - i)^n.$$

Combining (5.7.3) and Proposition 5.3(3), one gets (5.7.1). Let us construct (5.7.2). We have

$$E = \mathcal{D}/(1 - T^{-1}s)\mathcal{D}.$$

Let $\mathbf{1}$ be the generator of E . Let $E_i \subset E$ denote the free $k[s]$ -submodule generated by $\mathbf{1}T^{-i-1}$ for $i \in \mathbb{Z}$. If $\chi \in \mathbb{Z}$, set $\chi = 0$. From the relation $\mathbf{1}T^{-i} = \mathbf{1}T^{-i-1}(s - i)$, we deduce that E/E_i is supported away from $\chi + i$, so $(E/E_i) \otimes_{k[s]} k[s]/(s - \chi - i)^n = 0$. Hence $E \otimes_{k[s]} k[s]/(s - \chi - i)^n$ is freely generated by $\mathbf{1}T^{-i-1} \otimes 1$, and this gives us (5.7.2). \square

Lemma 5.7 implies in particular that $I^0 * B \cong I^0 * \mathcal{L}$. We deduce from Lemma 5.6 that $\text{Four}_{V/S,B}$ agrees with $\text{Four}_{V/S,\mathcal{L}}$ on $D_{\text{mon}}\mathcal{M}(V)$.

Corollary 5.8. *There is an isomorphism*

$$\text{Four}_{V/S,B} \cong \text{Four}_{V/S,\mathcal{L}}$$

of functors $D_{\text{mon}}\mathcal{M}(V) \rightarrow D_{\text{mon}}\mathcal{M}(V^\vee)$.

APPENDIX A. THE MONODROMIC SUBCATEGORY

In this appendix we prove the facts we need about (non-unipotently) monodromic complexes. For a more complete account of the unipotently monodromic story, see [BY, B1].

A.1. Free monodromic objects. Let p be the characteristic of k , which may be 0. For $p \nmid n$, let A_n^0 be the group algebra $R[\mu_n]$ considered as a sheaf on $\text{Spec } k$, i.e., a $\text{Gal}(\bar{k}/k)$ -module. Put

$$A^0 = \varprojlim_{p \nmid n} A_n^0.$$

Consider $\mathbb{T} := \varprojlim_{p \nmid n} \mu_n(\bar{k})$ the tame fundamental group of $\mathbb{G}_{m,\bar{k}}$. For any $\gamma \in \mathbb{T}$, let $\tilde{\gamma}$ denote the corresponding invertible element in $A^0(\bar{k})$. Pick a topological generator $t \in \mathbb{T}$. Note that $\tilde{t} - 1$ is not a zero divisor in A^0 , so A^0 injects to the localization $A = (A^0)_{\tilde{t}-1}$. Define

$$A^i = (\tilde{t} - 1)^i A^0 \subset A$$

for $i \in \mathbb{Z}$ and set $A_n^i = A^i \otimes_{A^0} A_n^0$ for $p \nmid n$. The definition of A^i is independent of the choice of t , and A^i is a $\text{Gal}(\bar{k}/k)$ -module. Note that A^1 is the kernel of the quotient map $A^0 \rightarrow A_1^0 = R$.

Remark A.2. The ring $A^0(\bar{k})$ is isomorphic to the product of the completions of $R[t, t^{-1}]$ at all maximal ideals \mathfrak{m} such that $t^n \equiv 1 \pmod{\mathfrak{m}}$ for some $p \nmid n$. The maximal ideals \mathfrak{m} correspond to the eigenvalues of the monodromy action.

For $i \in \mathbb{Z}$ and $p \nmid n$, let I_n^i be the local system on \mathbb{G}_m such that the fiber at $1 \in \mathbb{G}_m(k)$ is A_n^i and the monodromy action of $\gamma \in \mathbb{T}$ is multiplication by $\tilde{\gamma}$. We define I^i to be the pro-sheaf

$$\varprojlim_{p \nmid n} I_n^i,$$

where we use “ \varprojlim ” to denote pro-objects, following the notation of [SGA4-1, Exposé I, (8.5.3.2)].

Remark A.3. After base change from $\text{Spec } k$ to $\text{Spec } \bar{k}$, the local systems I_n^0 and I_n^i are isomorphic via multiplication by $(\tilde{t} - 1)^i$, and this induces an isomorphism $I^0 \cong I^i$. The isomorphism is not canonical as it depends on the choice of t .

Lemma A.4. *There is a canonical isomorphism of pro-objects*

$$I^0 * M \cong M(-1)[-2]$$

for $M \in D_{\text{mon}}^b(V)$ considered as a constant pro-object.

Proof. Let $e_n : \mathbb{G}_m \rightarrow \mathbb{G}_m$ denote the n^{th} power map. Note that $e_{n!}R \cong I_n^0$ for $p \nmid n$. Since M is monodromic, there exists n_0 coprime to p such that $\theta(n_0)^*M \cong \text{pr}_2^*M$. Then

$$\varprojlim (e_{n!}R) * M \cong \varprojlim \theta(n)_! \text{pr}_2^*M \cong M(-1)[-2],$$

where we use the fact that the pro-object “ \varprojlim ” $\Gamma_c(\mathbb{G}_m, R)$ is essentially constant and isomorphic to $R(-1)[-2]$ (cf. [V1, Lemme 5.2]). \square

A.5. Monodromic sheaves as A^0 -modules. Let $\text{Mod}_\tau(A^0)$ denote the abelian category of sheaves of discrete A^0 -modules on $\text{Spec } k$, where A^0 is equipped with the projective limit topology, and let $\text{Sh}(\mathbb{G}_m)$ denote the abelian category of sheaves of R -modules on \mathbb{G}_m . We have a canonical exact functor

$$\text{Loc} : \text{Mod}_\tau(A^0) \rightarrow \text{Sh}(\mathbb{G}_m).$$

Define another functor $\mathfrak{M} : \text{Sh}(\mathbb{G}_m) \rightarrow \text{Mod}_\tau(A^0)$ by

$$\mathfrak{M}(\mathcal{F}) = \varinjlim h'_* e_{n,*} e_n^* \mathcal{F}$$

where $h' : \mathbb{G}_m \rightarrow \text{Spec } k$ is the structure map and A^0 acts on $e_{n,*} e_n^* \mathcal{F}$ by transport of structure. We deduce from étale descent that Loc is left adjoint to \mathfrak{M} . Passing to derived categories, the derived functors are still adjoint, and we also denote them by

$$\text{Loc} : D\text{Mod}_\tau(A^0) \rightleftarrows D(\mathbb{G}_m) : \mathfrak{M}.$$

Note that $\mathfrak{M} : D(\mathbb{G}_m) \rightarrow D\text{Mod}_\tau(A^0)$ is equal to the composition of the exact functor $\varinjlim e_{n,*} e_n^*$ with the derived functor h'_* .

Proposition A.6. *The derived functor $\text{Loc} : D\text{Mod}_\tau(A^0) \rightarrow D(\mathbb{G}_m)$ is fully faithful.*

Proof. We need to show that the unit of adjunction $L \rightarrow \mathfrak{M} \circ \text{Loc}(L)$ is an isomorphism for $L \in D\text{Mod}_\tau(A^0)$. We can assume that k is algebraically closed. Since Loc and \mathfrak{M} both commute with filtered colimits, we may further suppose that L is a finite module concentrated in degree 0. Then there exists n_0 not divisible by p such that the action of A^0 on L factors through $R[\mu_{n_0}]$. If n is a multiple of n_0 then $e_n^* \text{Loc}(L) \cong \underline{L}$, where \underline{L} is the constant sheaf on \mathbb{G}_m with stalk L . The proposition now follows from the fact that for any finite abelian group L of order prime to p , one has $\varinjlim H^0 \Gamma(\mathbb{G}_m, e_{n,*} e_n^* \underline{L}) \cong \underline{L}$ and $\varinjlim H^i \Gamma(\mathbb{G}_m, e_{n,*} e_n^* \underline{L}) = 0$ for $i \neq 0$. \square

Corollary A.7. *The restriction of Loc induces an equivalence between the subcategory of $D^b\text{Mod}_\tau(A^0)$ consisting of complexes whose cohomology sheaves have finite stalks and $D_{\text{mon}}^b(\mathbb{G}_m)$. Taking hearts with respect to the standard t -structures of the above triangulated categories, we get an isomorphism between the abelian category of sheaves of A^0 -modules on $\text{Spec } k$ with finite stalk and the abelian category of monodromic sheaves on \mathbb{G}_m .*

The monoidal structure on $D\text{Mod}_\tau(A^0)$ with respect to (derived) tensor product over A^0 corresponds under Loc to convolution on $D(\mathbb{G}_m)$.

Lemma A.8. *For $L, K \in D\text{Mod}_\tau(A^0)$ there exists a canonical isomorphism*

$$\text{Loc}(L) * \text{Loc}(K) \cong \text{Loc}(L \otimes_{A^0} K)(-1)[-2].$$

Proof. Consider the functor $\text{Loc}_{\mathbb{G}_m \times \mathbb{G}_m} : D\text{Mod}_\tau(A^0 \widehat{\otimes}_R A^0) \rightarrow D(\mathbb{G}_m \times \mathbb{G}_m)$, which is defined similarly to the above functor $\text{Loc} = \text{Loc}_{\mathbb{G}_m}$. Applying $\text{Loc}_{\mathbb{G}_m \times \mathbb{G}_m}$ to the natural map $L \otimes_R K \rightarrow L \otimes_{A^0} K$, we get a map $\text{Loc}(L) \boxtimes \text{Loc}(K) \rightarrow m^* \text{Loc}(L \otimes_{A^0} K)$ in $D(\mathbb{G}_m \times \mathbb{G}_m)$. Recall that since m is smooth, $m^* \text{Loc}(L \otimes_{A^0} K) \cong m^! \text{Loc}(L \otimes_{A^0} K)(-1)[-2]$. Therefore the $(m_!, m^!)$ -adjunction induces a morphism

$$\text{Loc}(L) * \text{Loc}(K) \rightarrow \text{Loc}(L \otimes_{A^0} K)(-1)[-2].$$

To check this is an isomorphism, we can assume k is algebraically closed and take $L = K = A_n^0$ for $p \nmid n$ since the functors on both sides commute with filtered colimits and have finite cohomological amplitude. Under these assumptions, the isomorphism is an easy computation. \square

Corollary A.9. *There is a canonical projective system of isomorphisms*

$$I^i * I_n^j \cong I_n^{i+j}(-1)[-2]$$

for $p \nmid n$ and any integers i and j . Consequently there is an isomorphism of pro-objects

$$I^i * I^j \cong I^{i+j}(-1)[-2].$$

Proof. Fix $p \nmid n$. By Lemma A.8, the first isomorphism is equivalent to an isomorphism

$$\varprojlim_{p \nmid m} A_m^i \otimes_{A^0} A_n^j \cong A_n^{i+j}$$

as pro-objects in $D\text{Mod}_\tau(A^0)$. Remark A.3 and Lemma A.4 imply that it suffices to consider the cohomology in degree 0, i.e., we consider the non-derived tensor product on the LHS. Then $H^0(A_m^i \otimes_{A^0} A_n^j) \cong A_n^{i+j}$ for $n \mid m$ by definition. These isomorphisms are evidently compatible with changes in n , so the rest of the corollary follows. \square

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